

# **Heterotic Brane world:** *the Geography of Extra Dimensions*

Hans Peter Nilles

Bethe Center for Theoretical Physics



# Outline

- MSSM and Grand Unification
- Heterotic string compactifications
- Gauge group geography in extra dimensions
- **Local Grand Unification**
- A fertile patch of the landscape
- Hidden sector susy breakdown
- **The Benchmark model**
- Comparison to type II braneworld
- Outlook

# Bottom-up input

Experimental findings suggest the existence of two new scales of physics beyond the standard model

$M_{\text{GUT}} \sim 10^{16} \text{ GeV}$  (and  $M_{\text{SUSY}} \sim 10^3 \text{ GeV}$ ):

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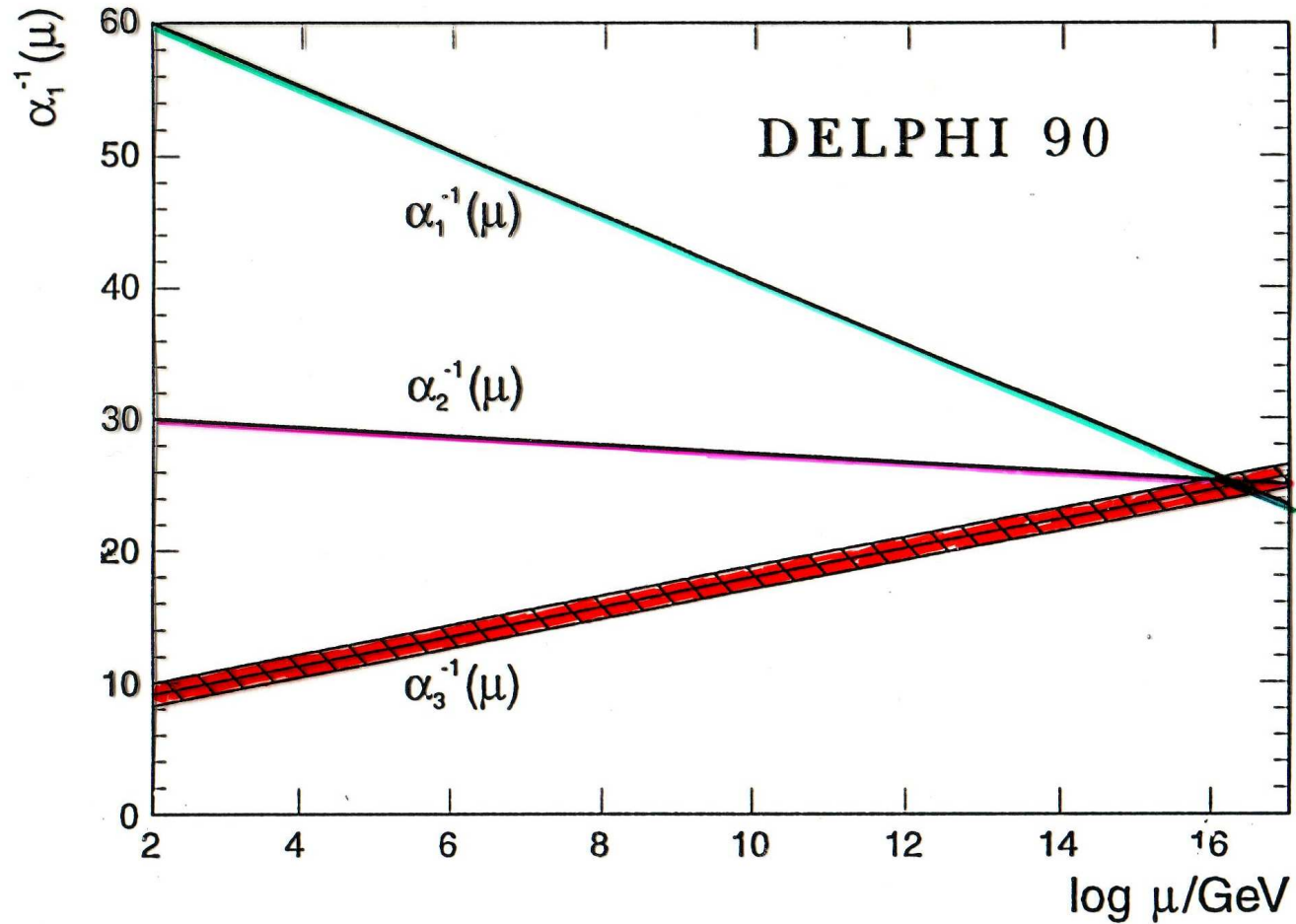
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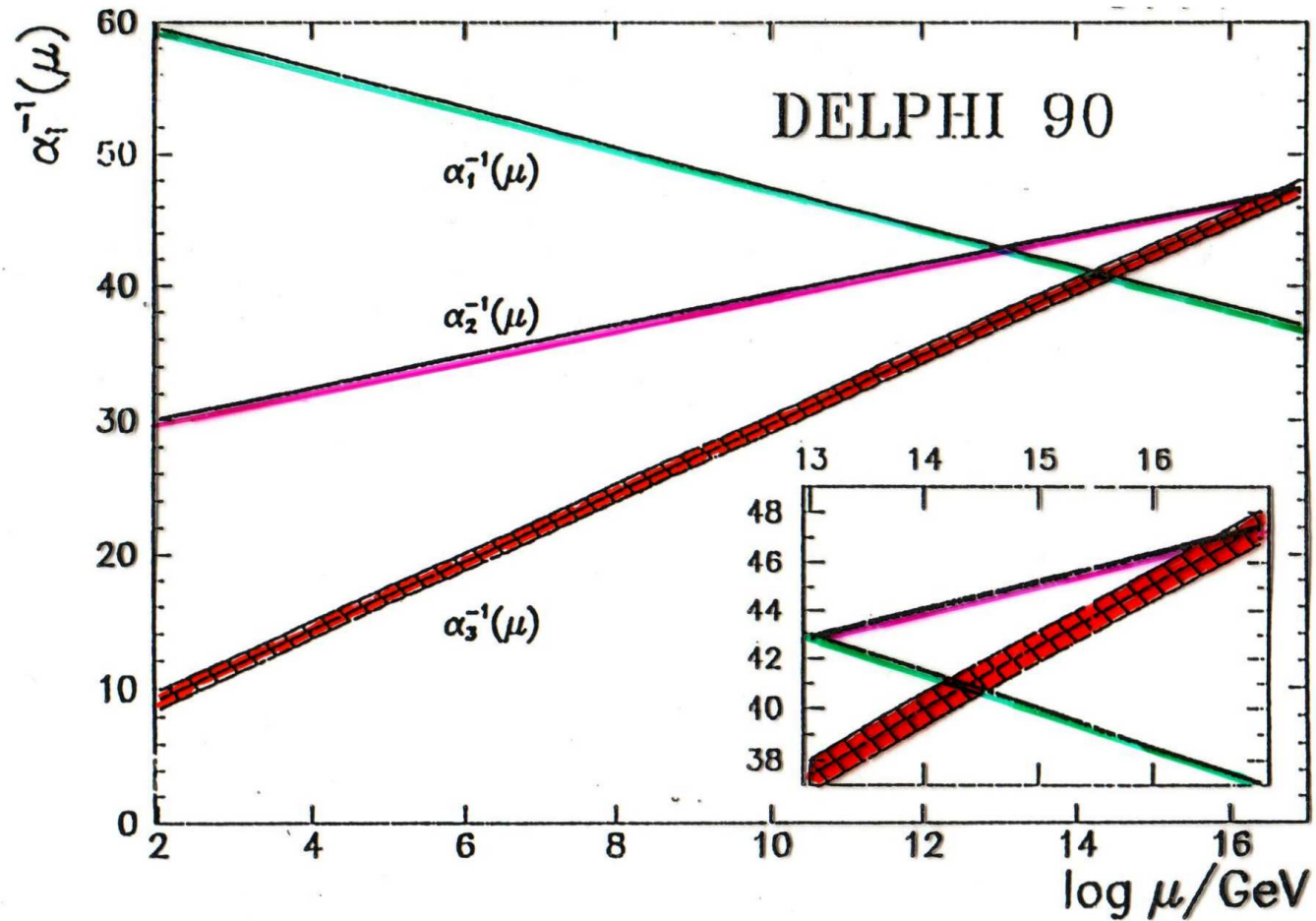
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- **Evolution of couplings constants** of the standard model towards higher energies.

# MSSM (supersymmetric)



# Standard Model



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Can we avoid these problems in a more complete theory?

# String theory candidates

In ten space-time dimensions.....

- Type I  $SO(32)$
- Type II orientifolds (F-theory)
- Heterotic  $SO(32)$
- Heterotic  $E_8 \times E_8$
- Intersecting Branes  $U(N)^M$

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....or in eleven

- Horava-Witten heterotic M-theory
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# String Theory

What do we get from string theory?

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- large unified gauge groups
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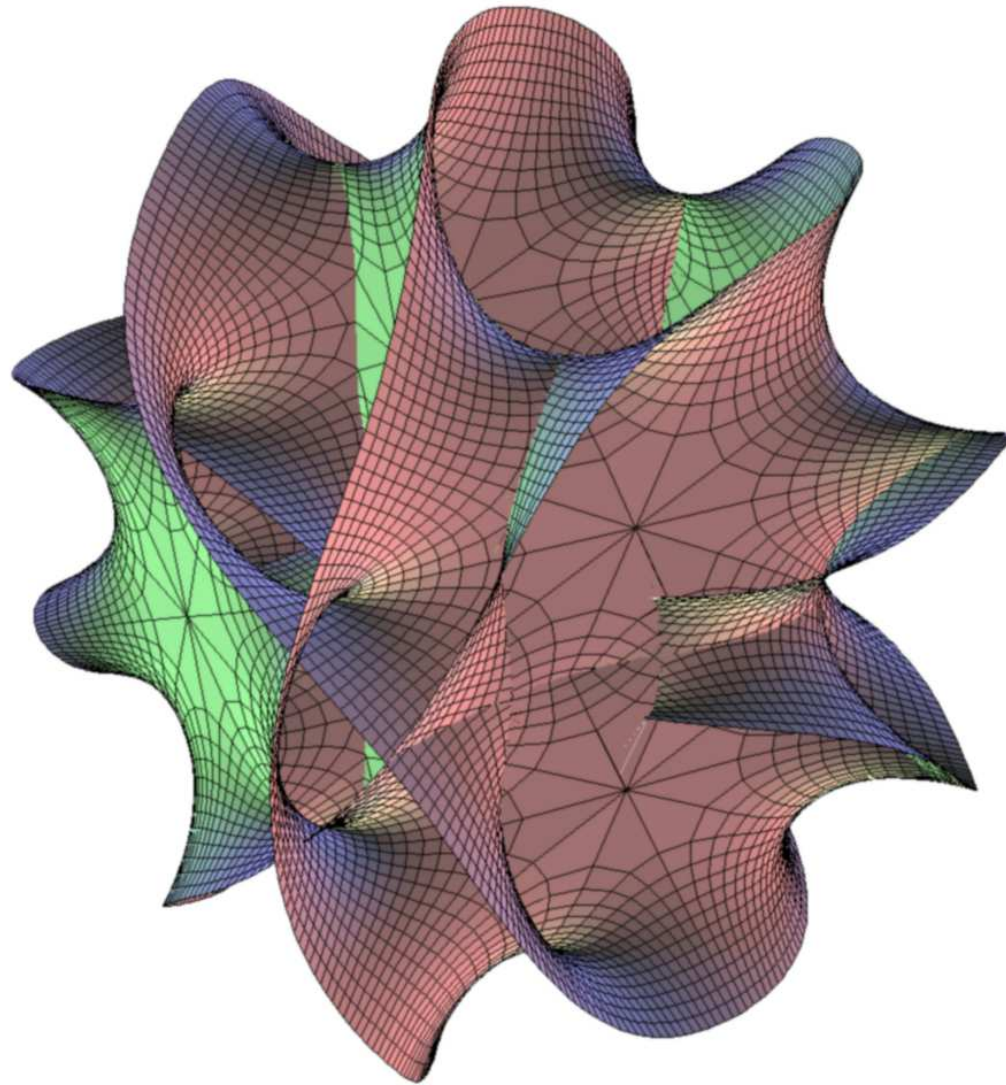
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These are the building blocks for a **unified theory** of all the fundamental interactions.

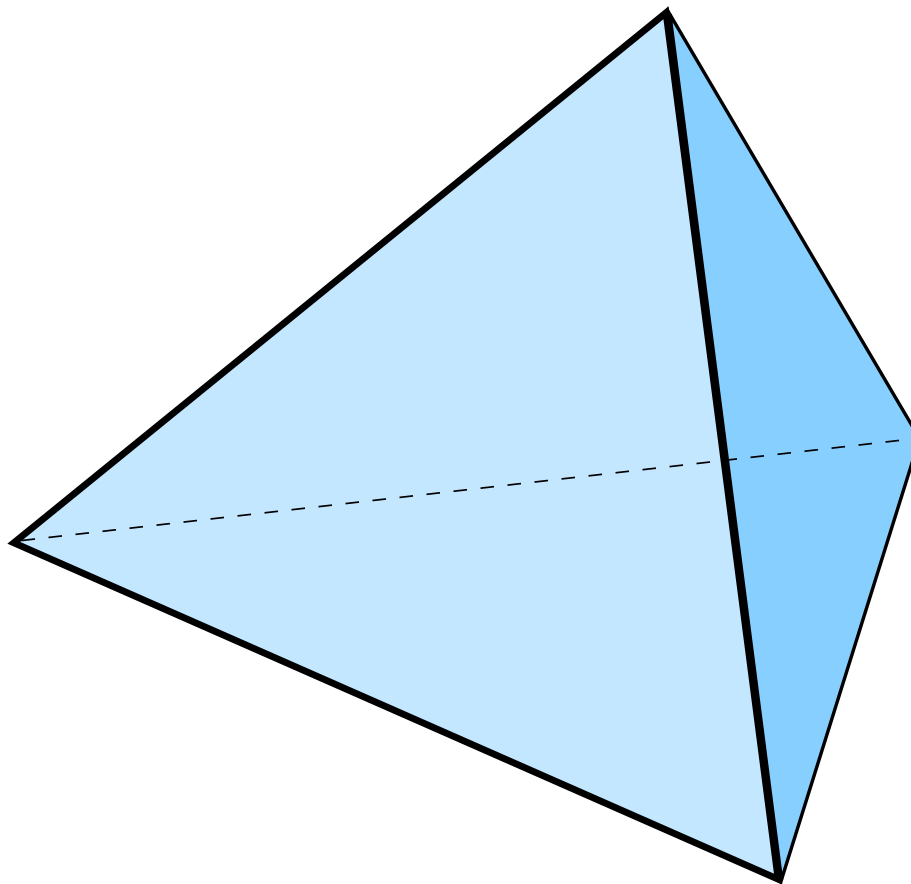
But do they fit together, and if yes how?

**We need to understand the mechanism of compactification of the extra spatial dimensions**

# Calabi Yau Manifold



# Orbifold





# Orbifolds

Orbifold compactifications combine the

- **success** of Calabi-Yau compactification
- **calculability** of torus compactification

# Orbifolds

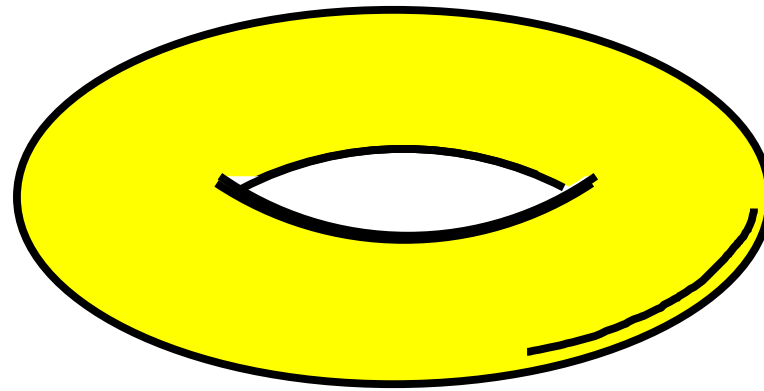
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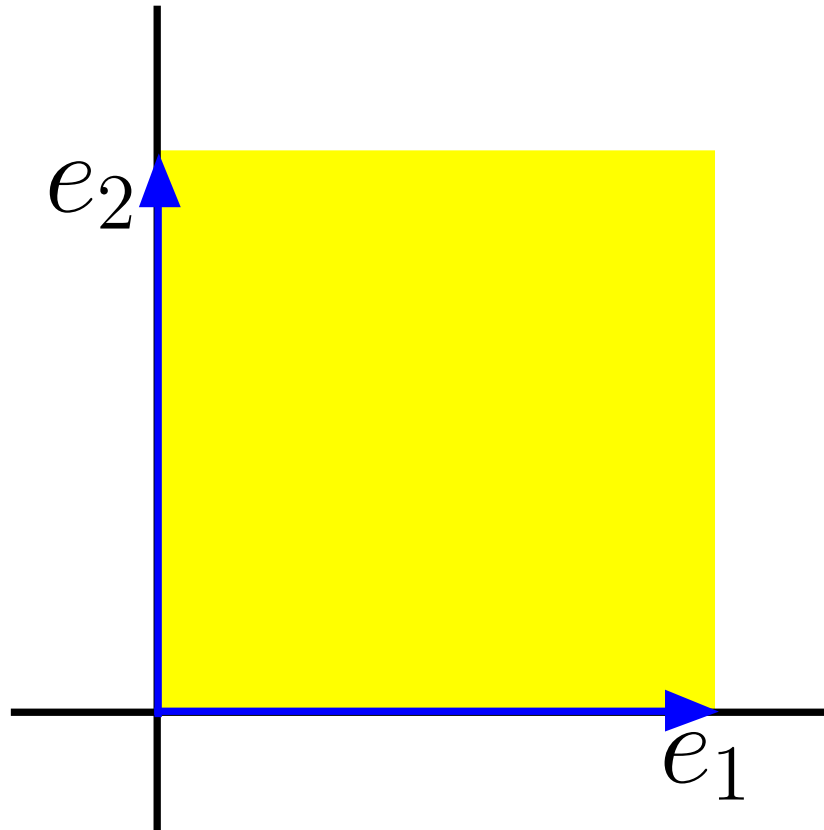
In case of the **heterotic string** fields can propagate

- in the Bulk ( $d = 10$  **untwisted** sector)
- on 3-Branes ( $d = 4$  twisted sector **fixed points**)
- on 5-Branes ( $d = 6$  twisted sector **fixed tori**)

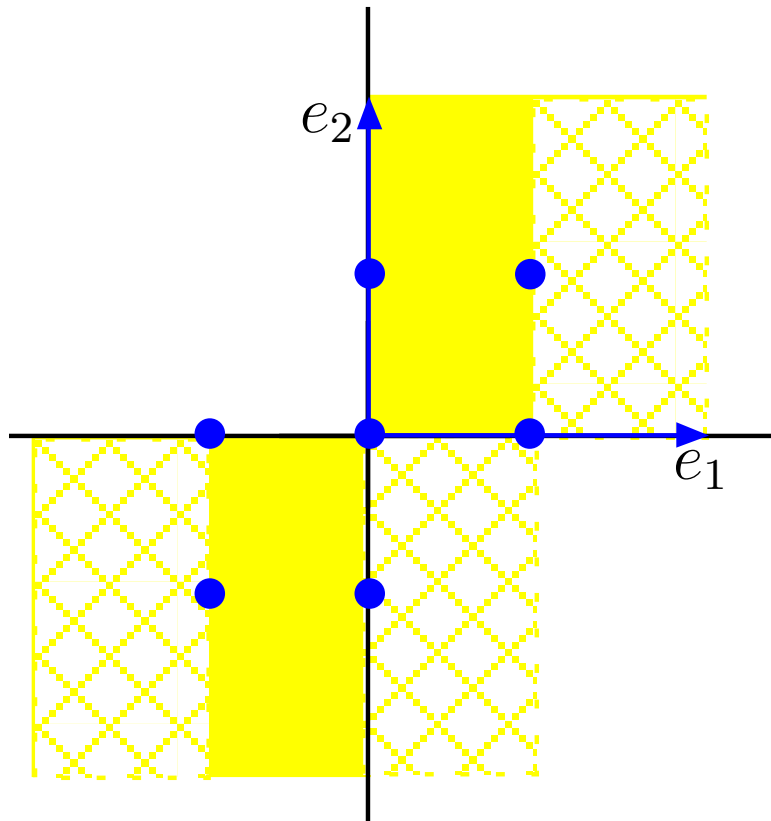
# Torus $T_2$



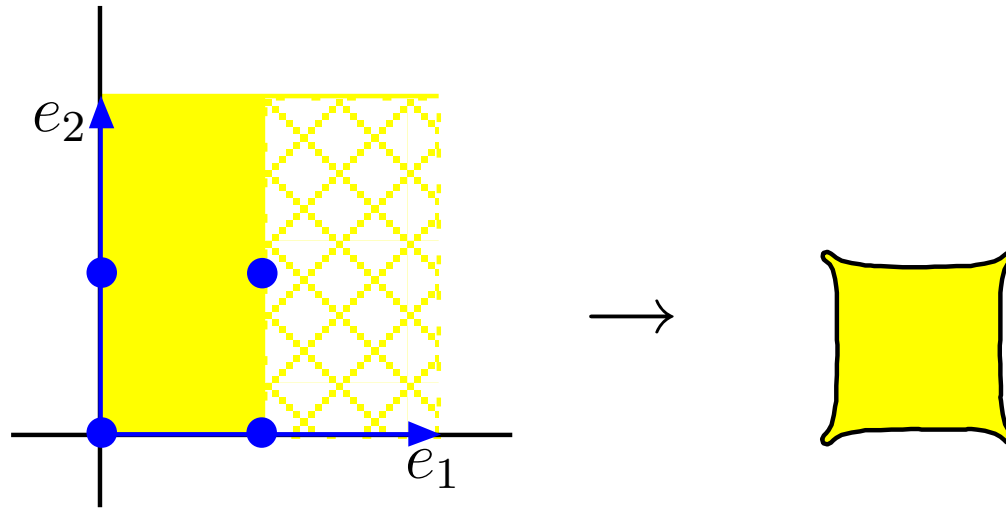
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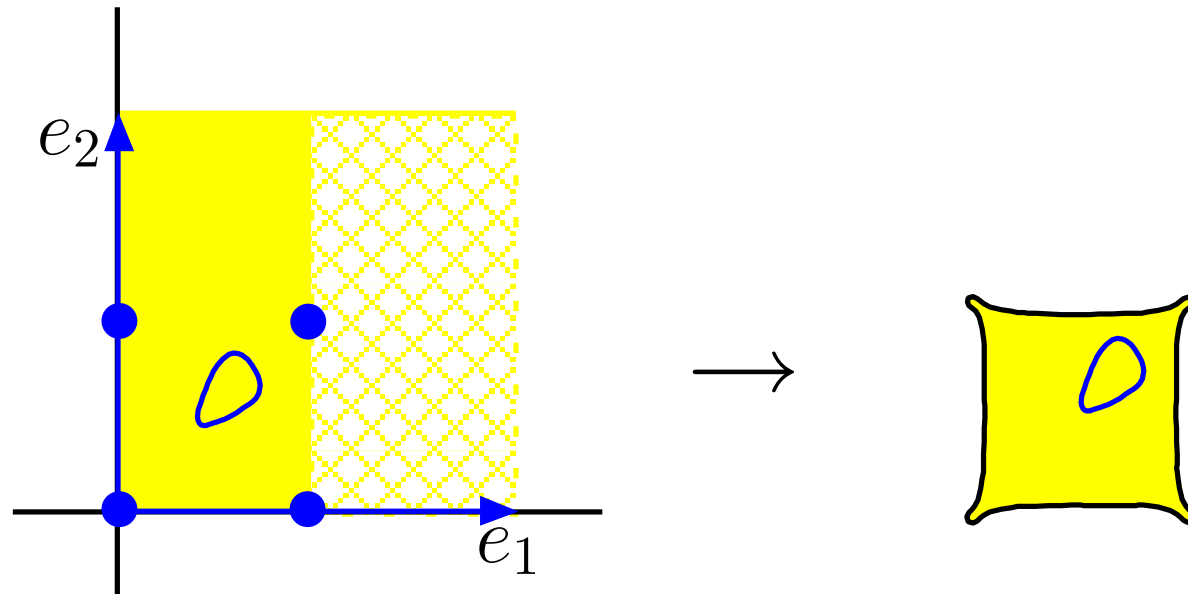
# Orbifolding



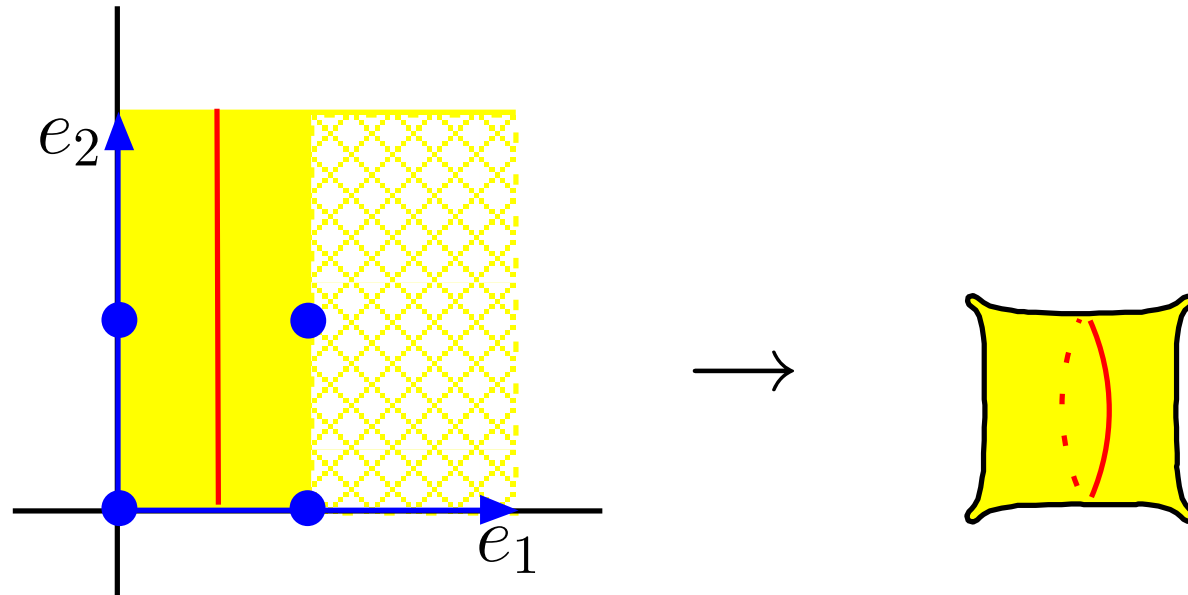
# Ravioli



# Bulk Modes

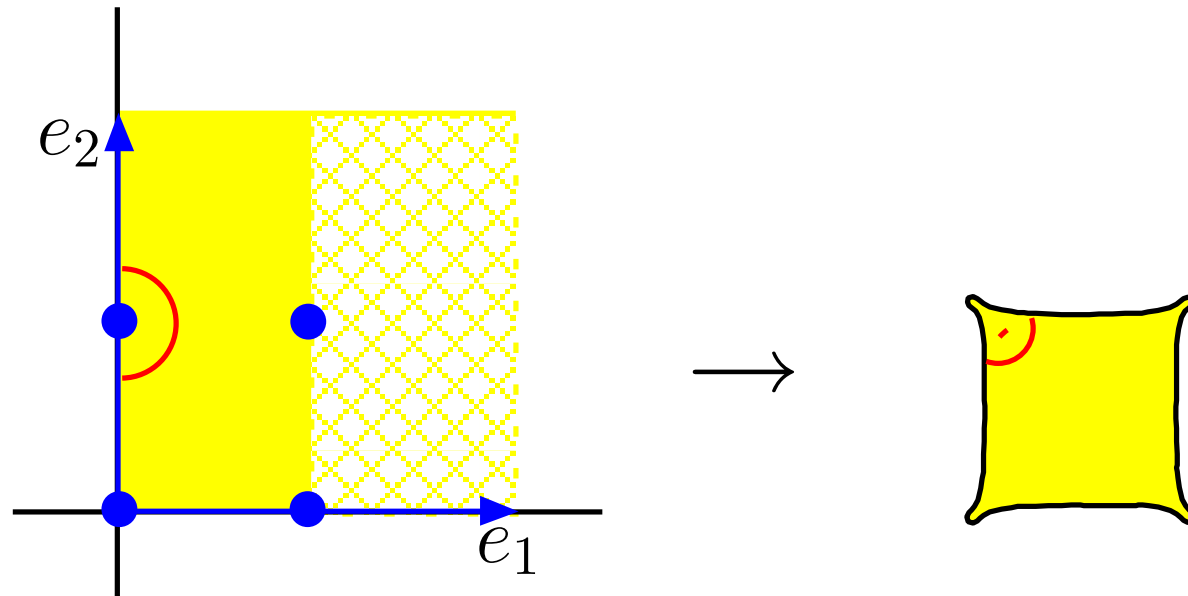


# Winding Modes

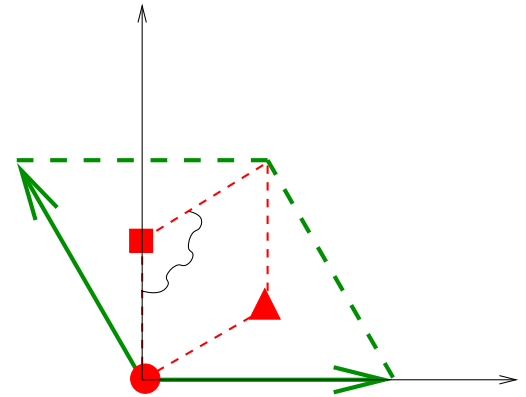
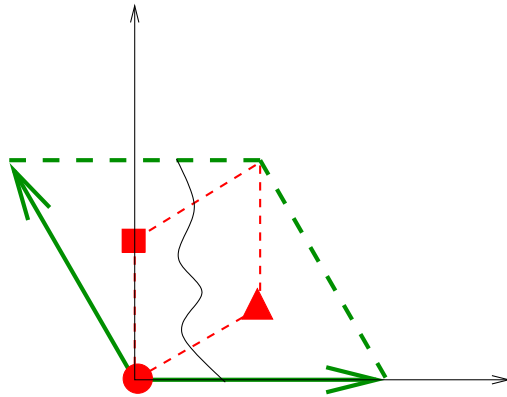
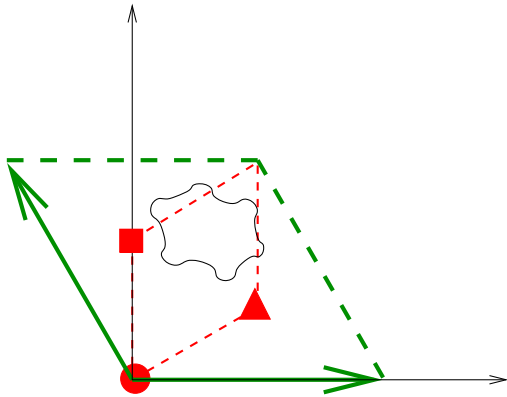




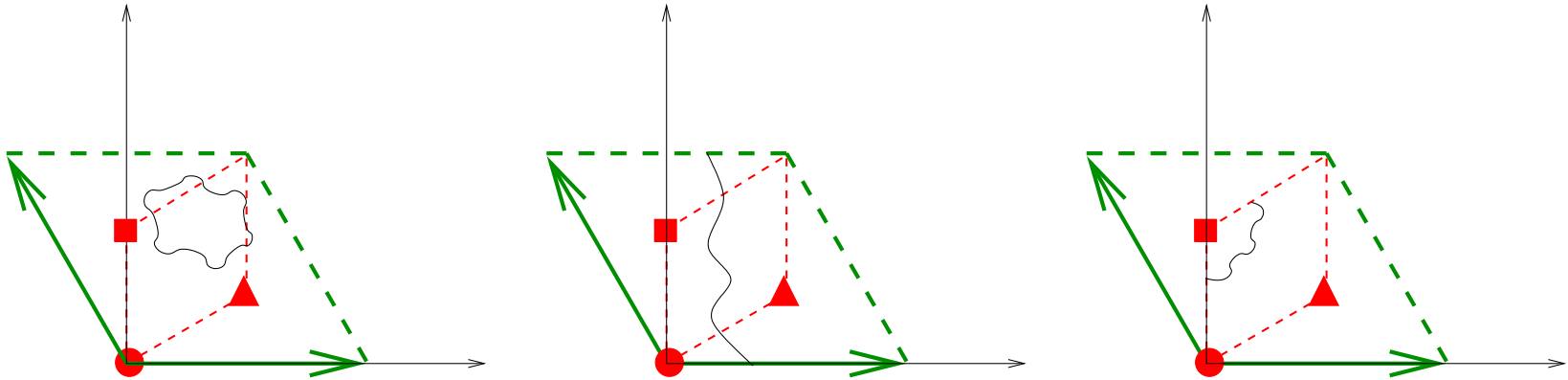
# Brane Modes



# $\mathbb{Z}_3$ Example



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- Action of the space group on coordinates

$$X^i \rightarrow (\theta^k X)^i + n_\alpha e_\alpha^i, \quad k = 0, 1, 2, \quad i, \alpha = 1, \dots, 6$$

- Embed twist in gauge degrees of freedom

$$X^I \rightarrow (\Theta^k X)^I \quad I = 1, \dots, 16$$

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1	$(\frac{1}{3}, \frac{1}{3}, \frac{2}{3}, 0^5) (0^8)$	$E_6 \times SU(3) \times E'_8$	36
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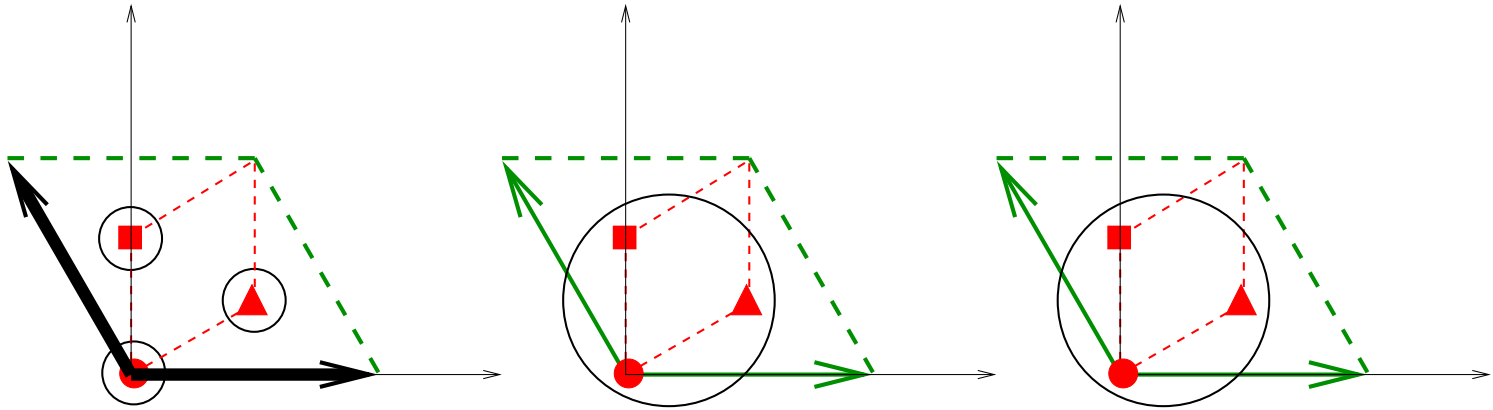
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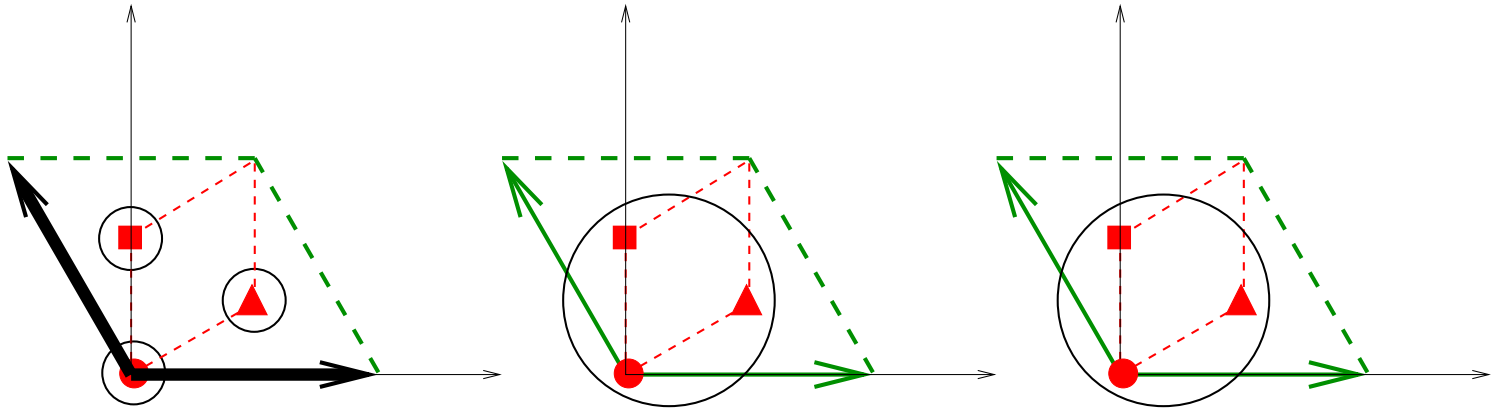


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# $\mathbb{Z}_3$ Orbifold with Wilson lines



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- further gauge symmetry breakdown
- number of generations reduced

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Can we incorporate this into a string theory description?

# Five golden rules

- Family as spinor of  $SO(10)$
- Incomplete multiplets
- $N = 1$  supersymmetry in  $d = 4$
- Repetition of families from geometry
- Discrete symmetries of stringy origin

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We need more general constructions to identify **remnants of  $SO(10)$**  in string theory .....



# Candidates

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If we insist on the spinor representation of  $SO(10)$  we are essentially

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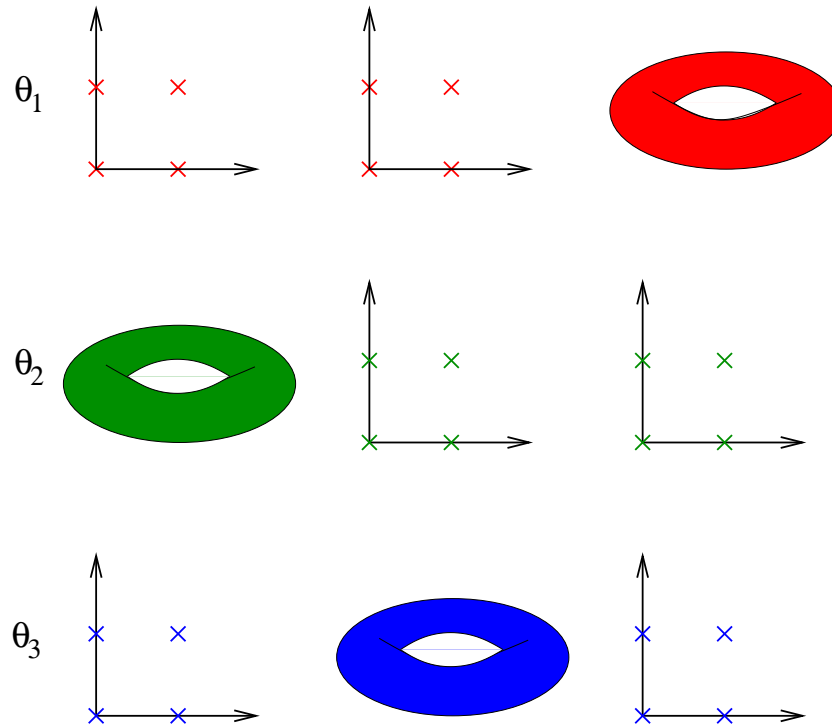
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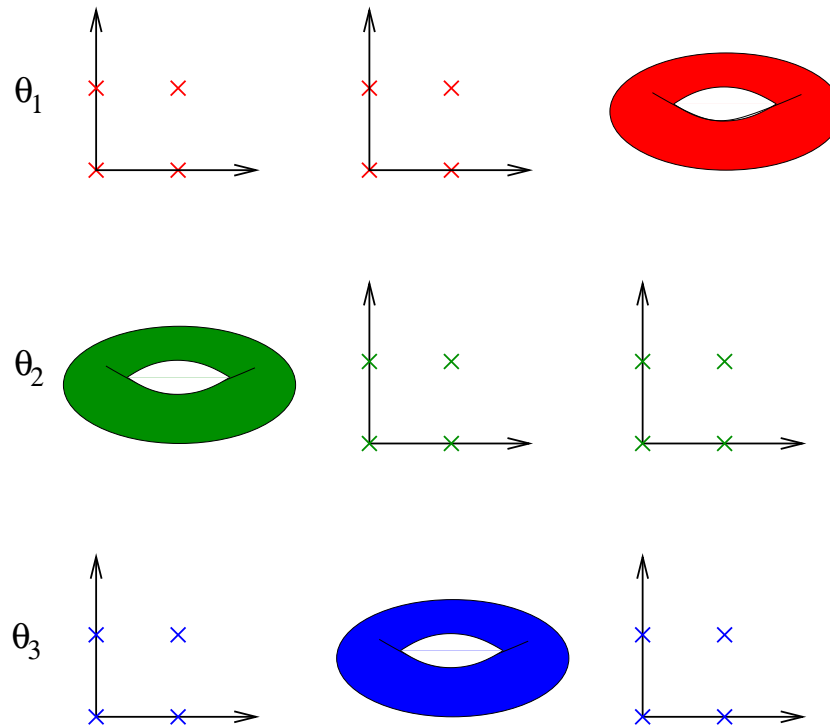
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From this point of view, the  $Z_{2N}$  or  $Z_N \times Z_M$  orbifolds do look more promising

# $\mathbb{Z}_2 \times \mathbb{Z}_2$ Orbifold Example

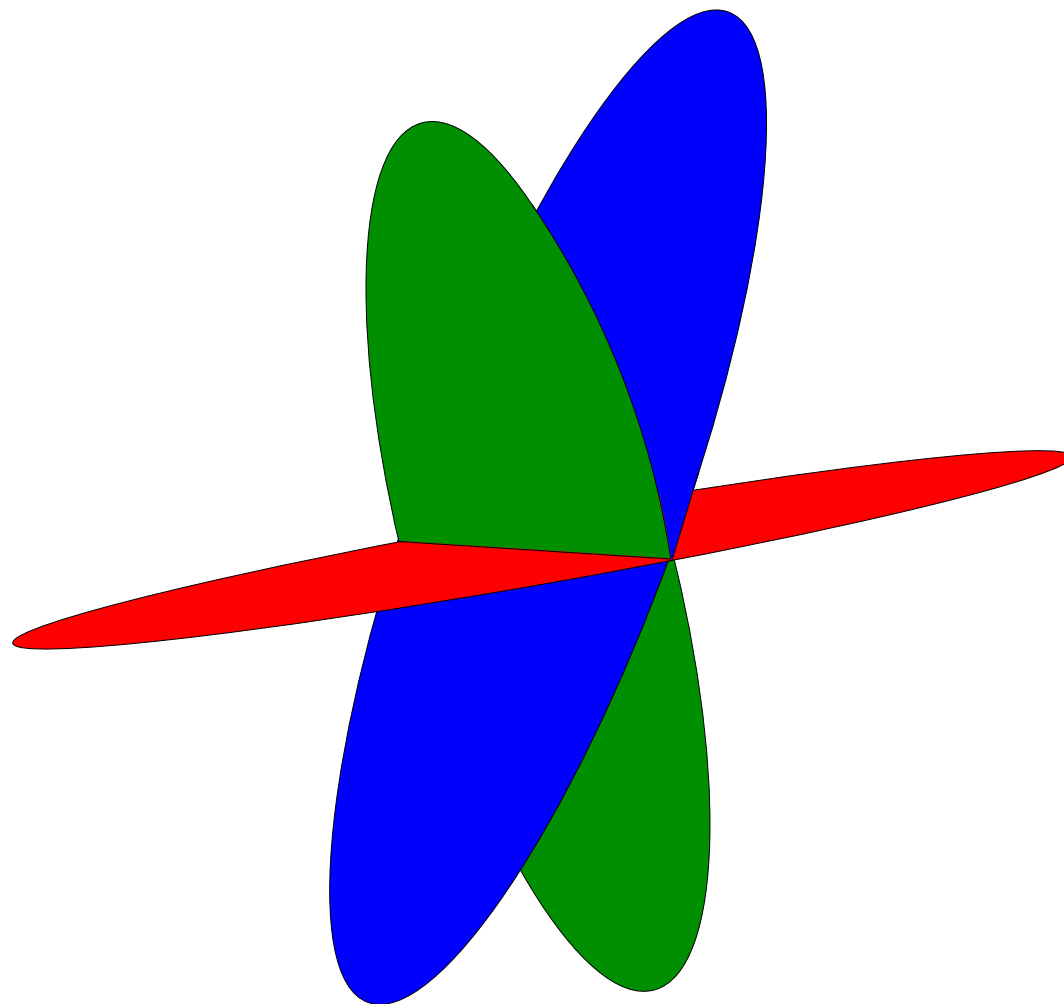


# $\mathbb{Z}_2 \times \mathbb{Z}_2$ Orbifold Example



3 twisted sectors (with 16 fixed tori in each) lead to a geometrical picture of ....

# Intersecting Branes

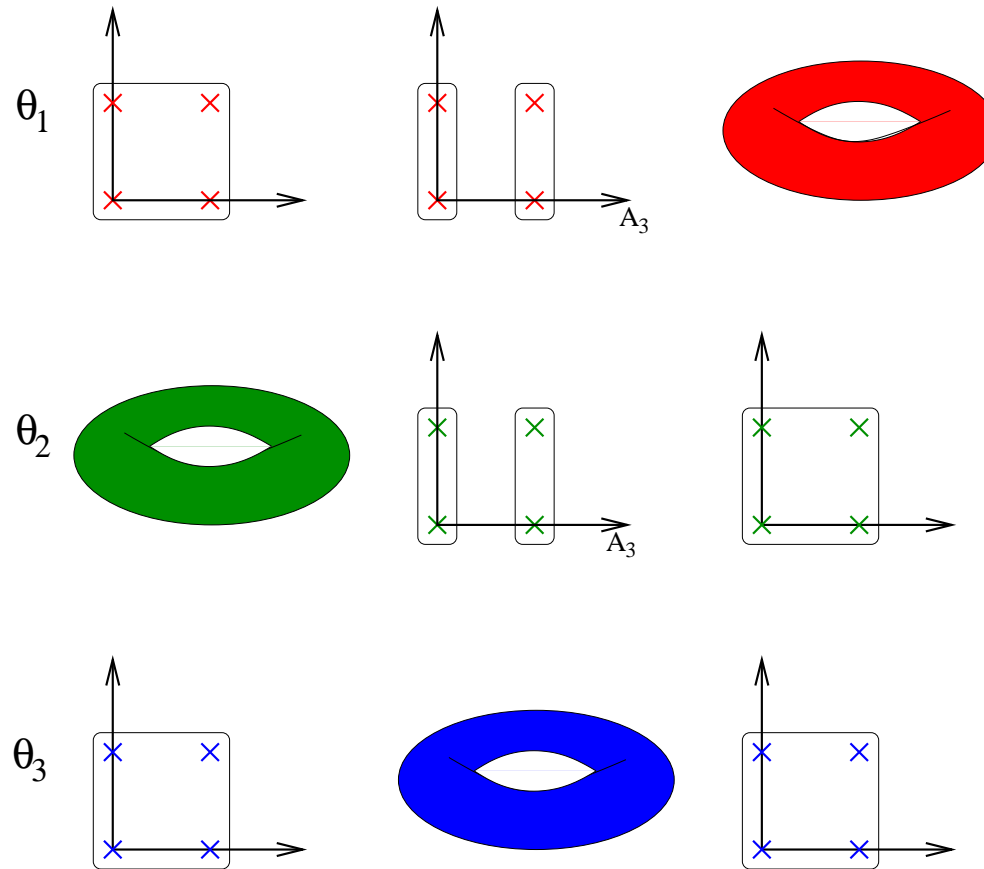




# $\mathbb{Z}_2 \times \mathbb{Z}_2$ classification

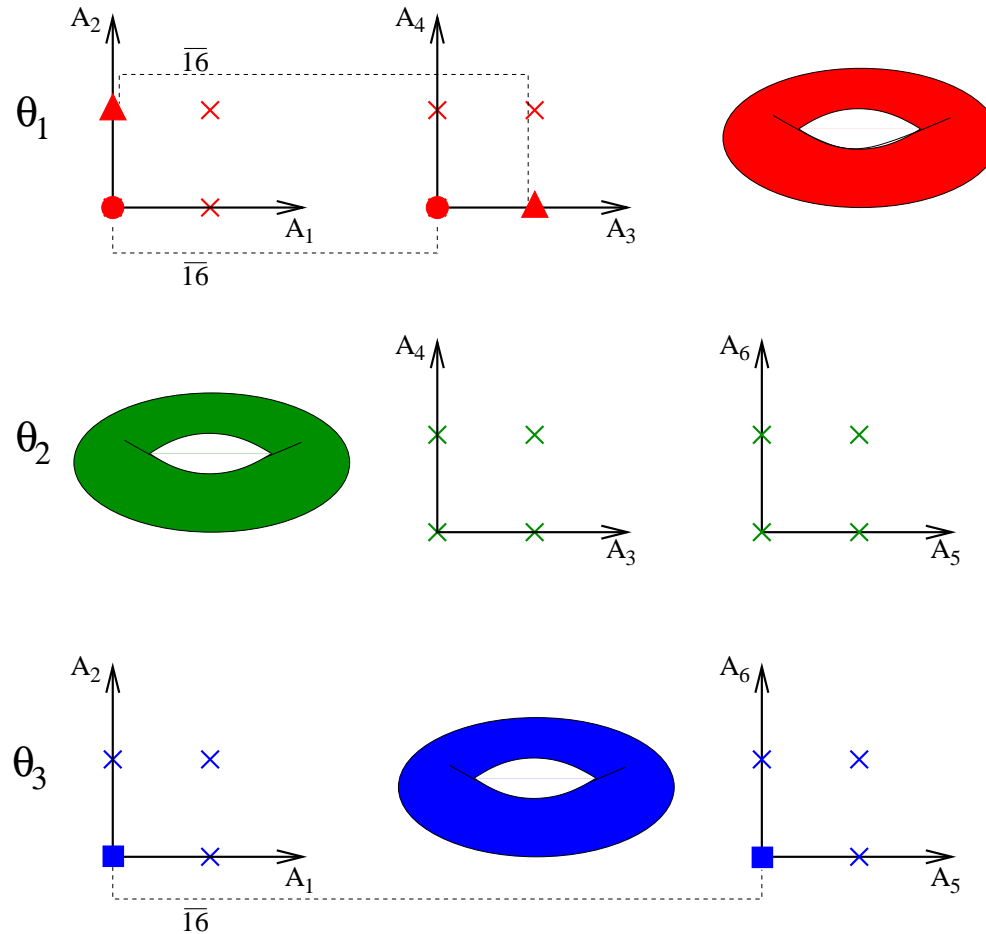
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2	$(\frac{1}{2}, -\frac{1}{2}, 0^6) (0^8)$ $(0, \frac{1}{2}, -\frac{1}{2}, 0^4, 1) (1, 0^7)$	$E_6 \times U(1)^2 \times SO(16)'$	16
3	$(\frac{1}{2}^2, 0^6) (0^8)$ $(\frac{5}{4}, \frac{1}{4}^7) (\frac{1}{2}, \frac{1}{2}, 0^6)$	$SU(8) \times U(1) \times E'_7 \times SU(2)'$	16
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5	$(\frac{1}{2}, -\frac{1}{2}, -1, 0^5) (1, 0^7)$ $(\frac{5}{4}, \frac{1}{4}^7) (\frac{1}{2}, \frac{1}{2}, 0^6)$	$SU(8) \times U(1) \times SO(12)' \times SU(2)'^2$	0

# $\mathbb{Z}_2 \times \mathbb{Z}_2$ with Wilson lines



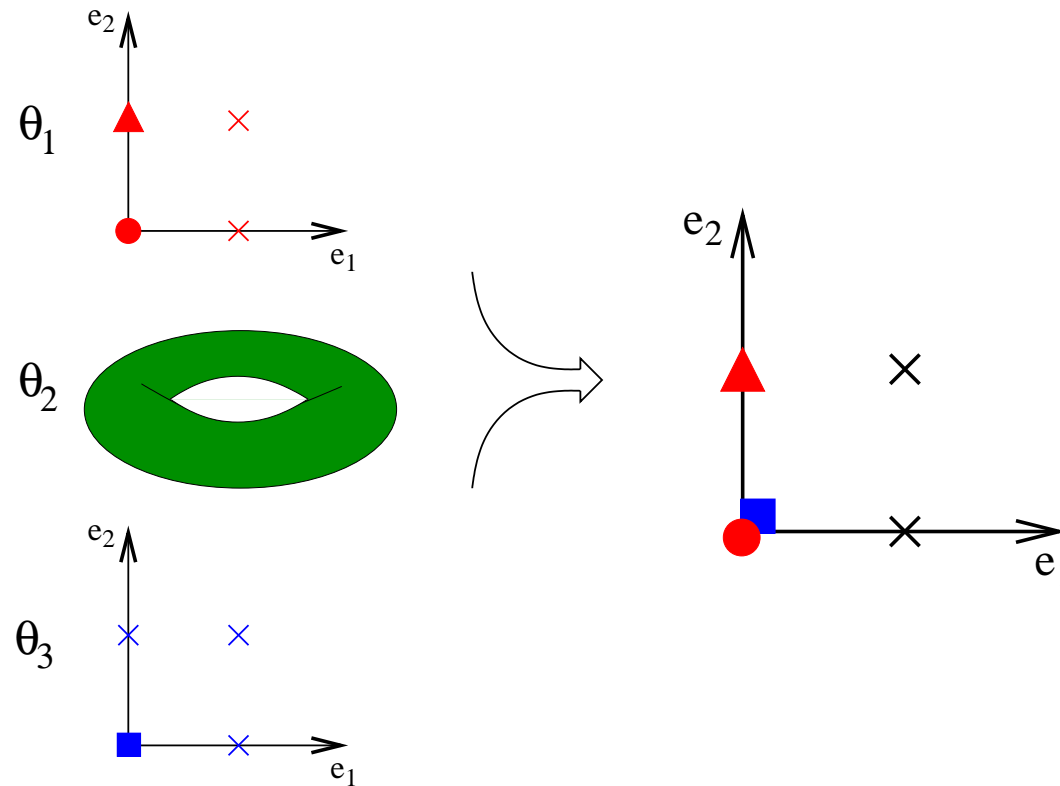
Again, Wilson lines can lift the degeneracy....

# Three family $SO(10)$ toy model



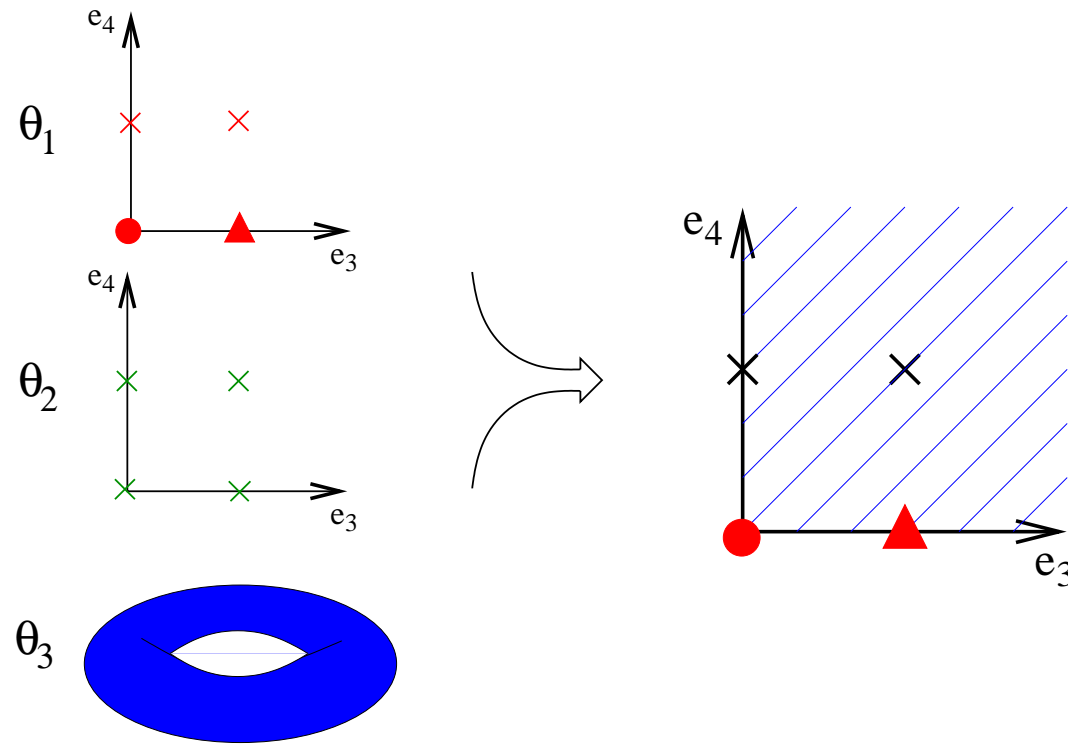
Localization of families at various fixed tori

# Zoom on first torus ...



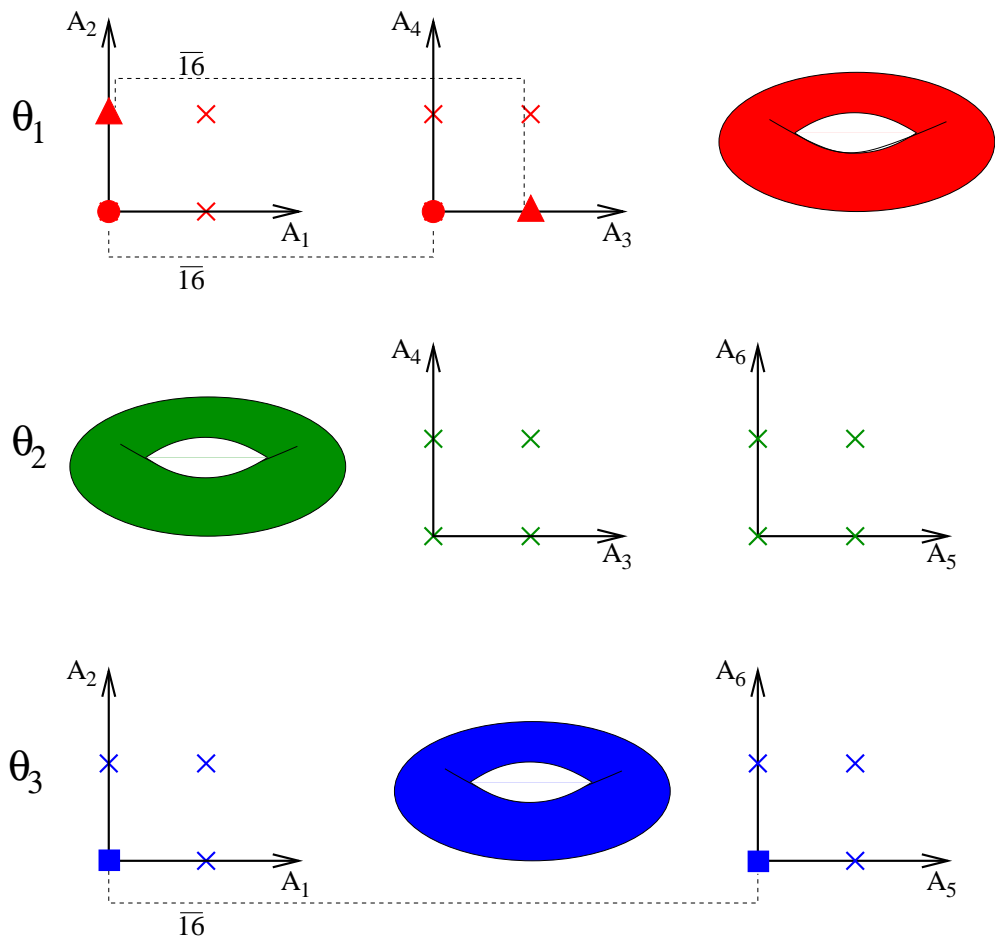
Interpretation as 6-dim. model with 3 families on branes

# second torus ...



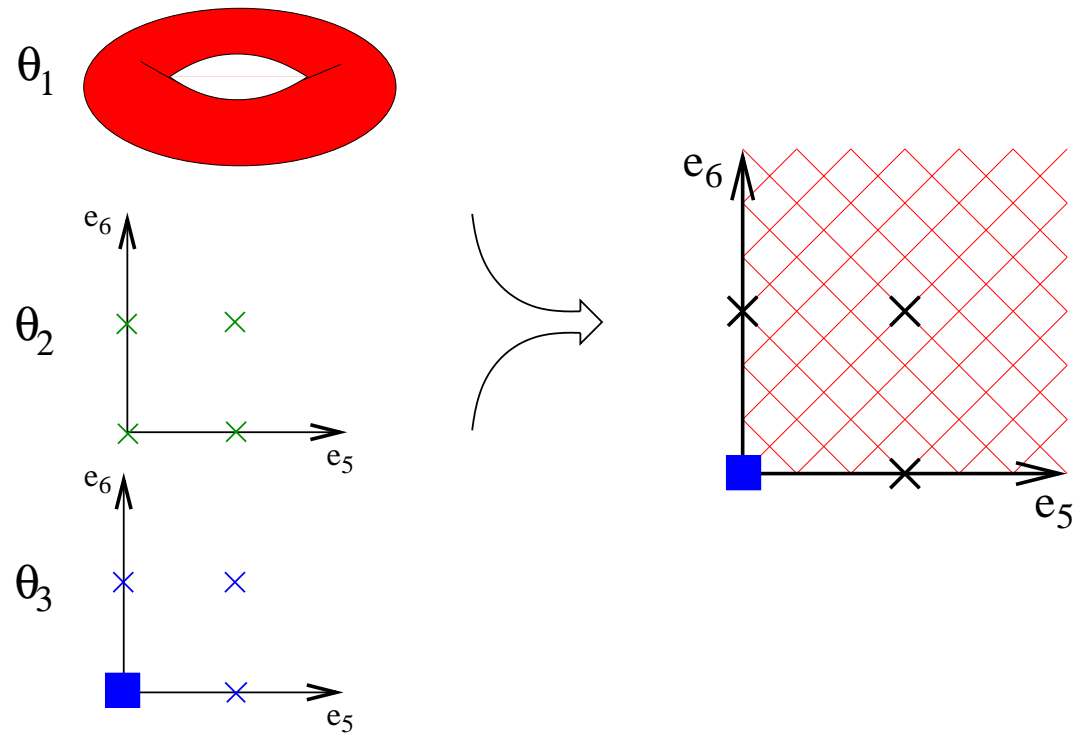
... 2 families on branes, one in (6d) bulk ...

# Three family $SO(10)$ toy model



Localization of families at various fixed tori

# third torus



... 1 family on brane, two in (6d) bulk.

# Geography

Many properties of the models depend on the geography of extra dimensions, such as

- the **location** of quarks and leptons,
- the **relative location** of Higgs bosons,



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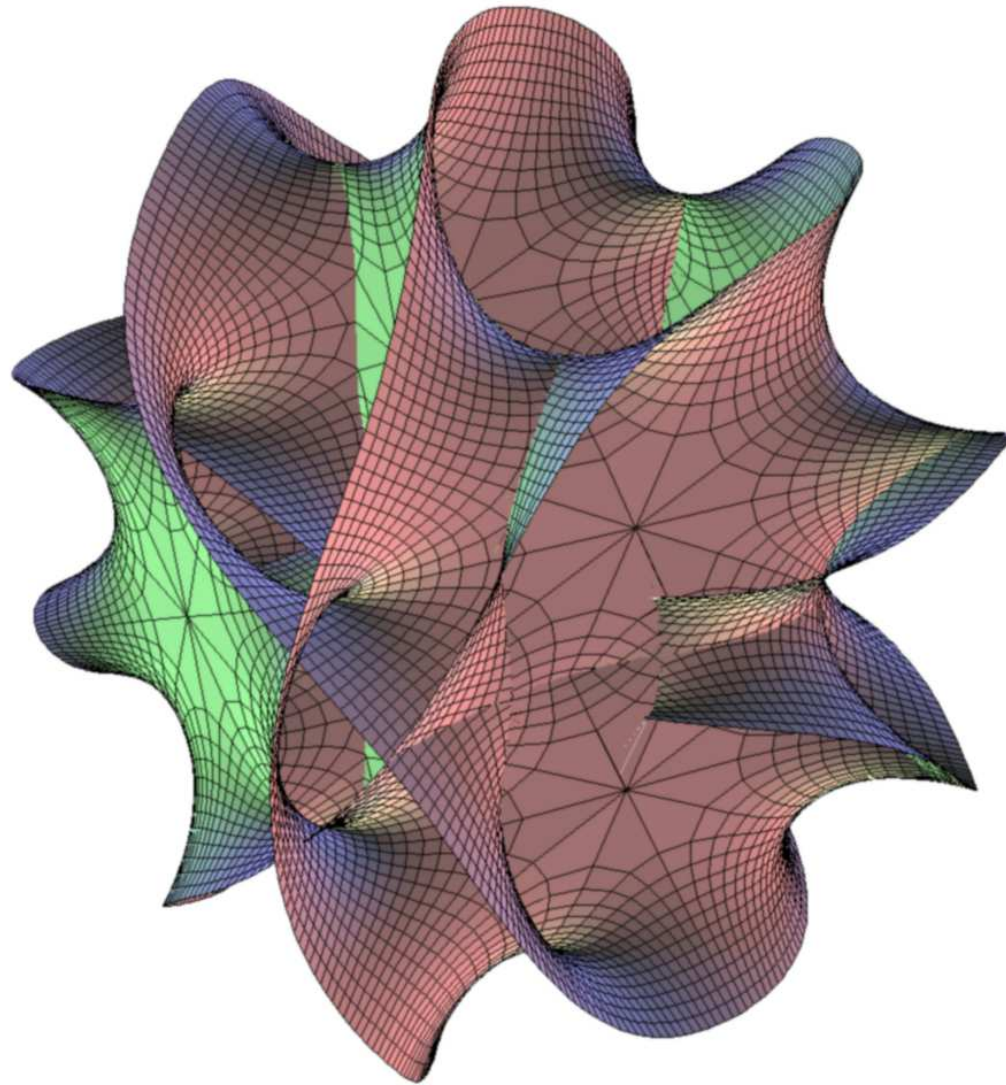
- the **location** of quarks and leptons,
- the **relative location** of Higgs bosons,

but there is also a “localization” of gauge fields

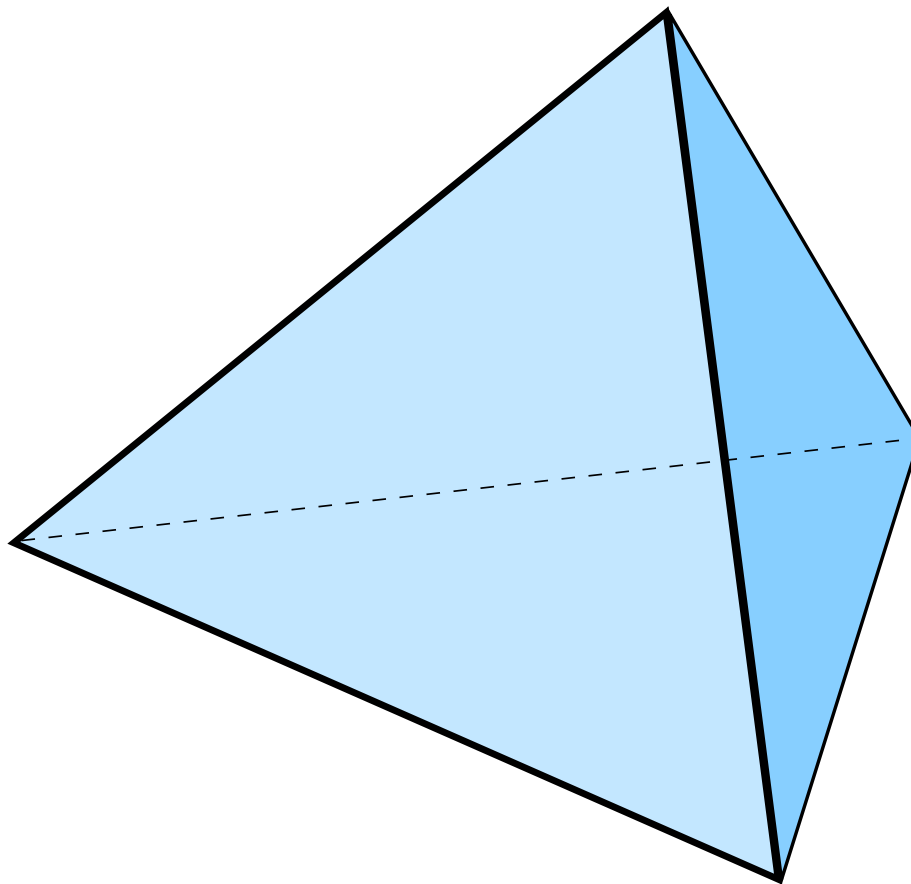
- $E_8 \times E_8$  in the bulk
- smaller gauge groups on various branes

Observed 4-dimensional gauge group is common subgroup of the various localized gauge groups!

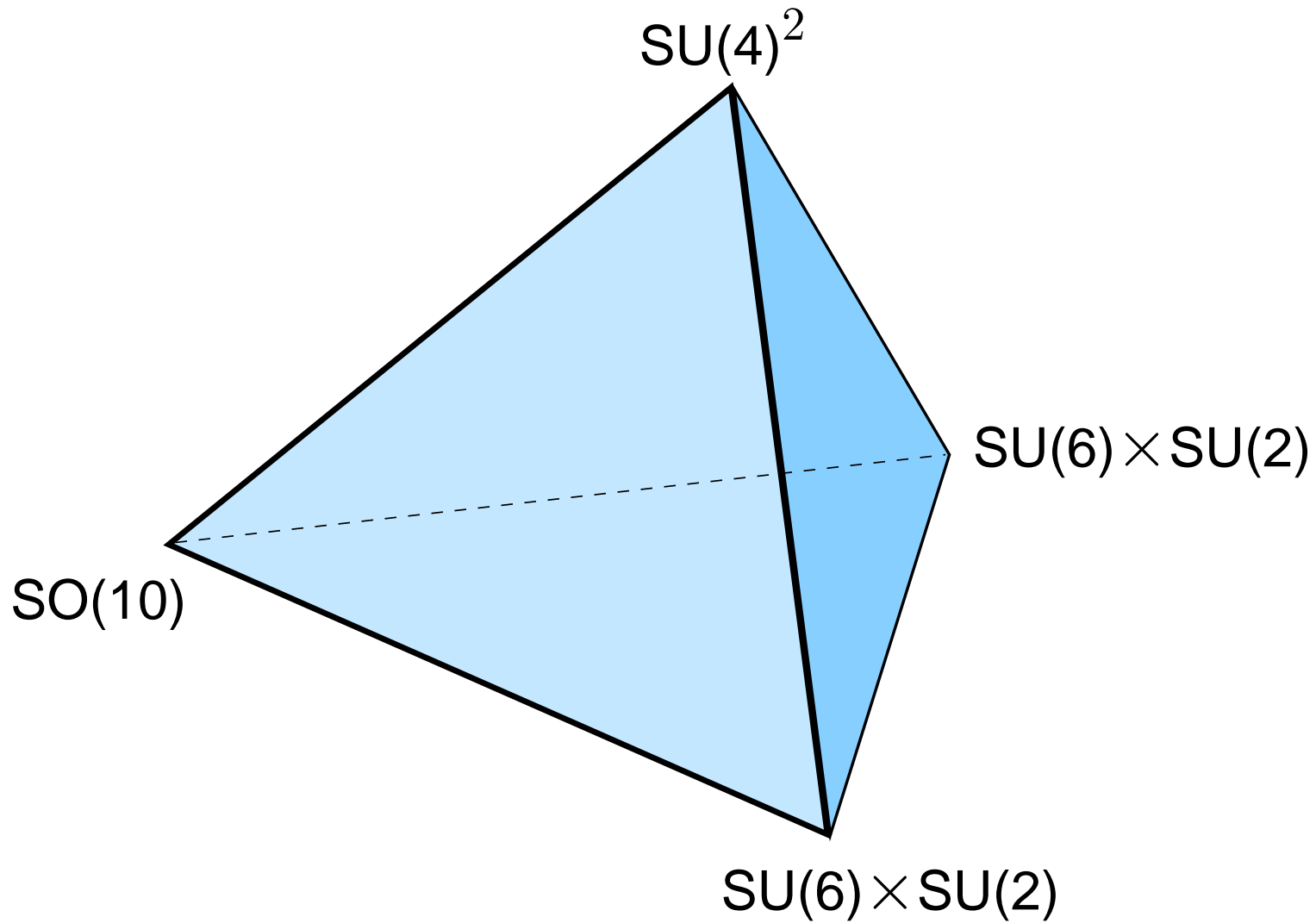
# Calabi Yau Manifold



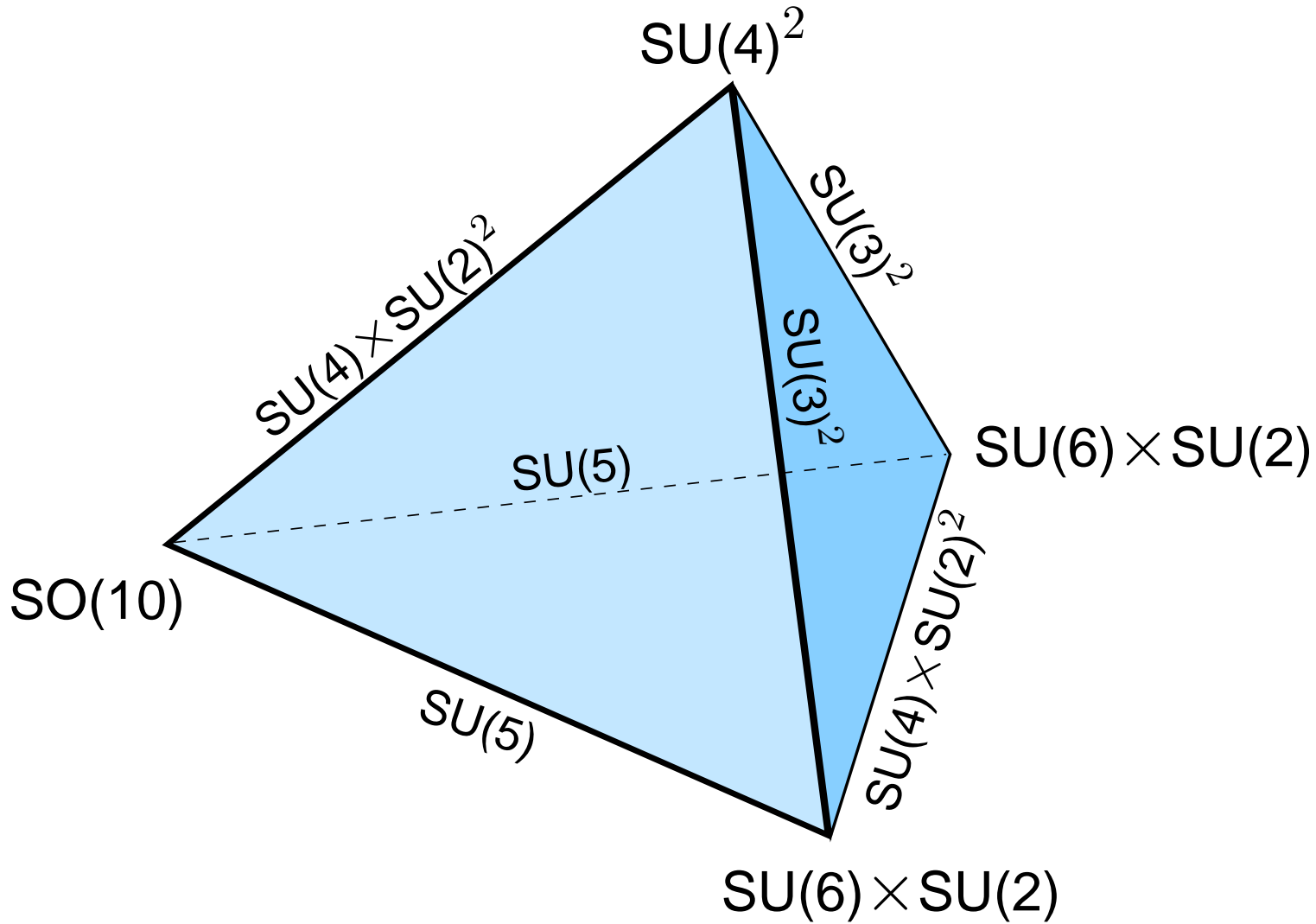
# Orbifold



# Localized gauge symmetries



# Standard Model Gauge Group



# Model building

We can easily find

- models with gauge group  $SU(3) \times SU(2) \times U(1)$
- 3 families of quarks and leptons
- doublet-triplet splitting
- $N = 1$  supersymmetry  
(Förste, HPN, Vaudrevange, Wingerter, 2004)  
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But explicit model building is tedious:

- removal of **exotic states**
- R parity
- “correct” hypercharge

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Key properties of the models depend on geometry:

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- texture of Yukawa couplings
- number of families
- local gauge groups on branes
- electroweak symmetry breakdown

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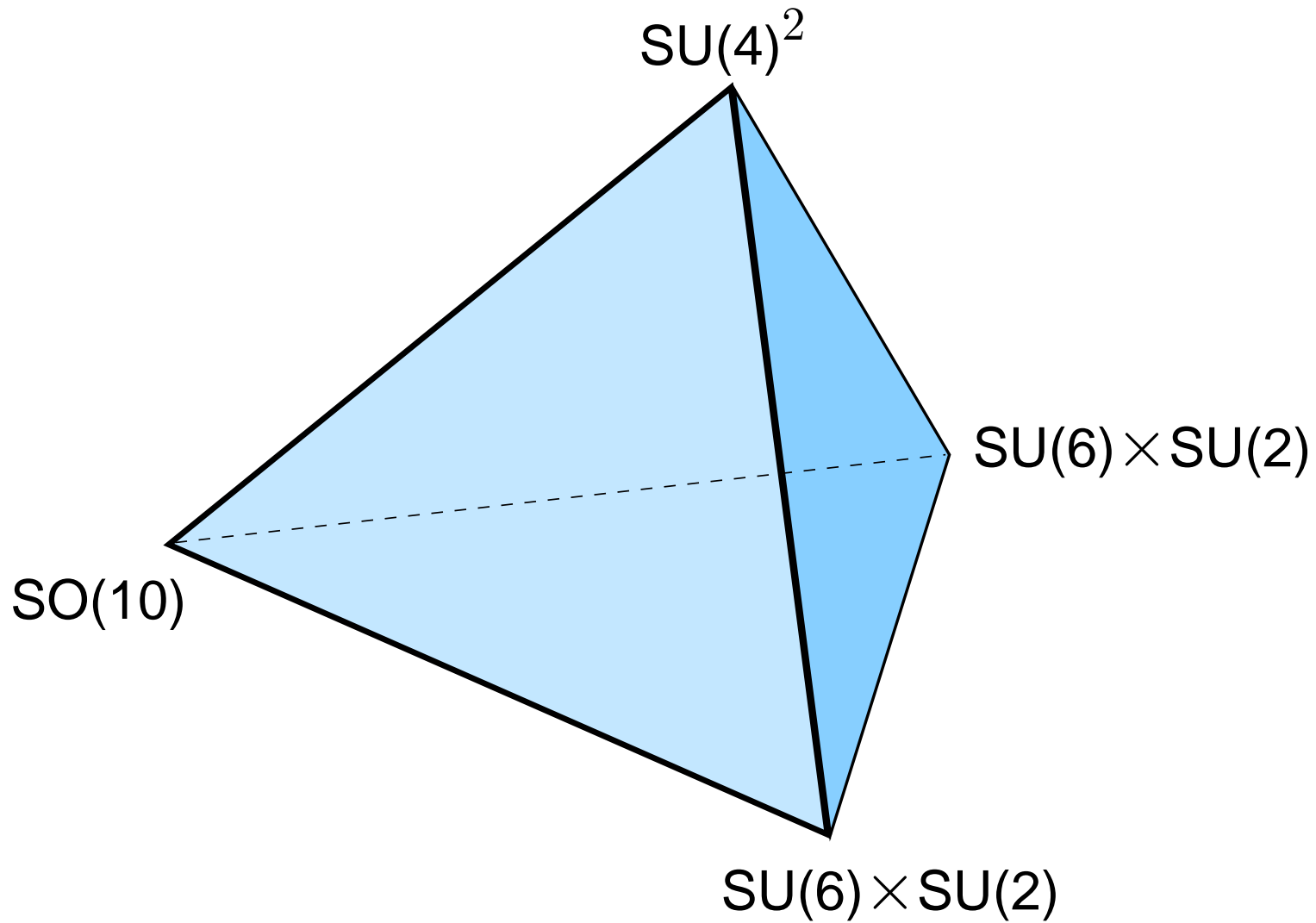
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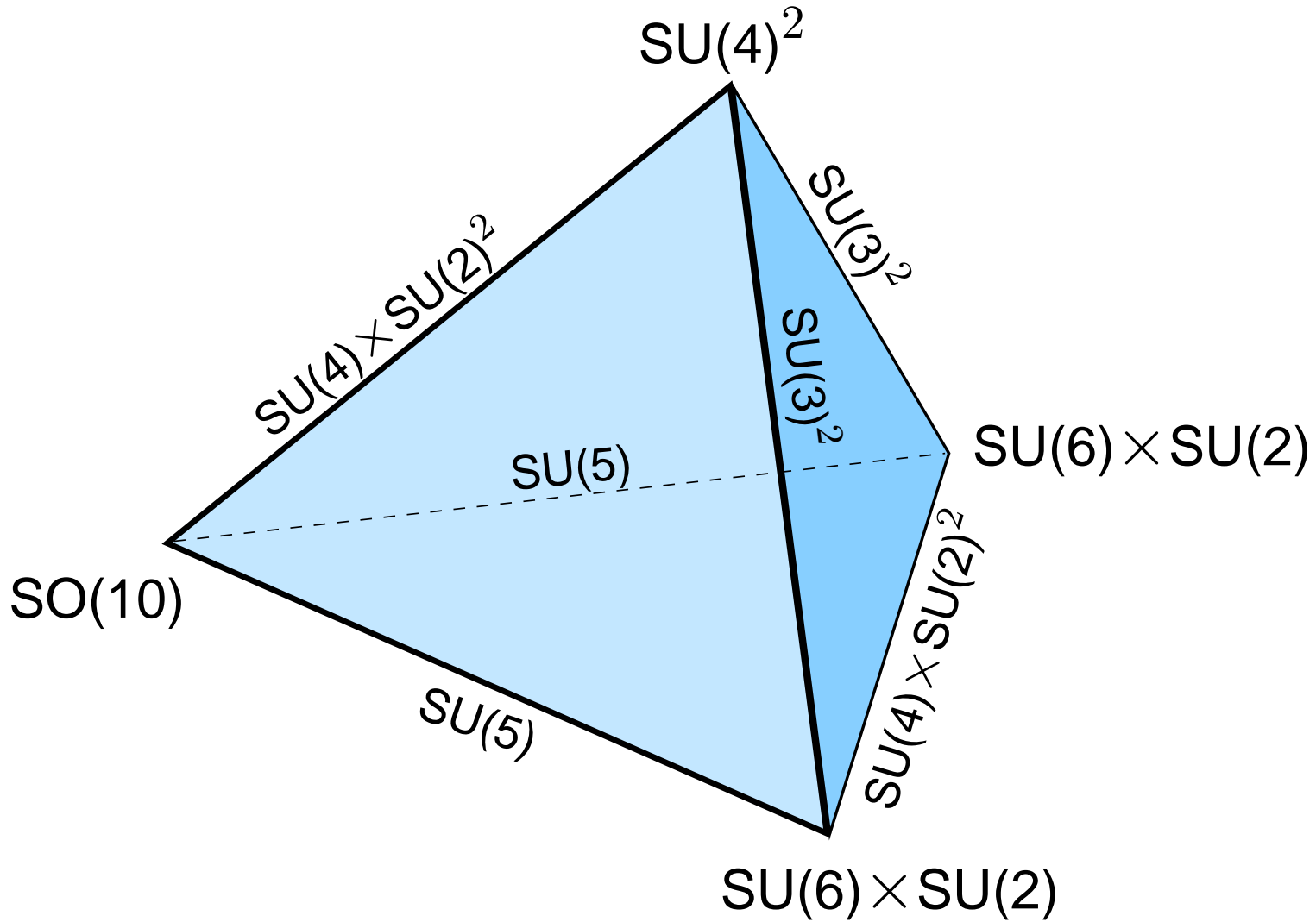
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We need to exploit these geometric properties.....

# Localized gauge symmetries



# Standard Model Gauge Group



# Local Grand Unification

In fact string theory gives us a variant of GUTs

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Key properties of the theory depend on the **geography** of the fields in extra dimensions.

This geometrical set-up called **local GUTs**, can be realized in the framework of the “heterotic braneworld”.

(Buchmüller, Hamaguchi, Lebedev, Ratz, 2004; Förste, HPN, Vaudrevange, Wingerter, 2004)

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There could still be remnants of  $SO(10)$  symmetry

- 16 of  $SO(10)$  at some branes
- correct hypercharge normalization
- R-parity
- family symmetries

that are very useful for realistic model building ...



# Proton decay

- R-parity from SO(10) memory could avoid dangerous **dimension-4** operators

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- R-parity from SO(10) memory could avoid dangerous **dimension-4** operators
- Proton decay rate via **dimension-5** operators reduced because of doublet-triplet splitting

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There are lots of opportunities,  
but there is a strong model dependence

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- **Yukawa unification** from SO(10) memory for third family (on an SO(10) brane)
- no **Yukawa unification** for first and second family required



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- **family symmetries** arise if different fields live on the same brane
- **Exponential suppression** if fields at distant branes
- **family symmetries** might also arise if there is a symmetry between various fixed point locations
- **GUT relations** could be partially present, depending on the nature of the brane (e.g.  $SO(10)$  brane)

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- $Z_2 \times Z_3$  standard model

(Buchmüller, Hamaguchi, Lebedev, Ratz, 2005)

# The Higgs-mechanism in string theory...

...can be achieved via **continuous** Wilson lines. The aim is:

- **electroweak symmetry breakdown**
- breakdown of **Trinification** or **Pati-Salam** group to the Standard Model gauge group
- **rank reduction**

Continuous Wilson lines require specific embeddings of twist in the gauge group

(Ibanez, HPN, Quevedo, 1987)

- difficult to implement in the  $Z_3$  case
- more promising for  $Z_2$  twists

# An example

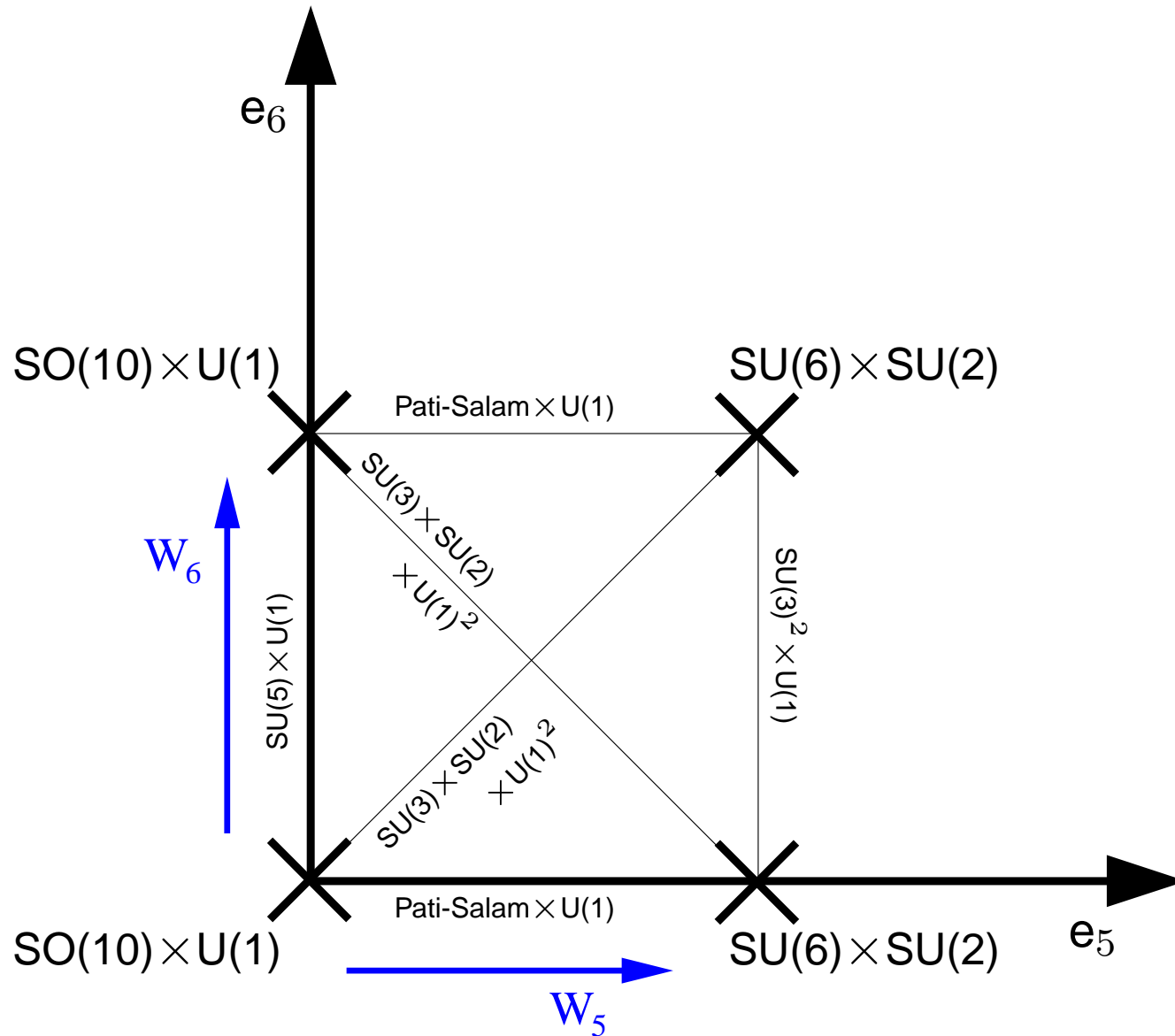
We consider a model that has  $E_6$  gauge group in the bulk of a “6d orbifold”. The breakdown pattern is

- $E_6 \rightarrow SO(10)$  via a  $Z_2$  twist
- $SO(10) \rightarrow SU(4) \times SU(2) \times SU(2) \times U(1)$  via a discrete (quantized) Wilson line
- $SU(4) \times SU(2) \times SU(2) \rightarrow SU(3) \times SU(2) \times U(1)$  via a continuous Wilson line (Förste, HPN, Wingerter, 2005)

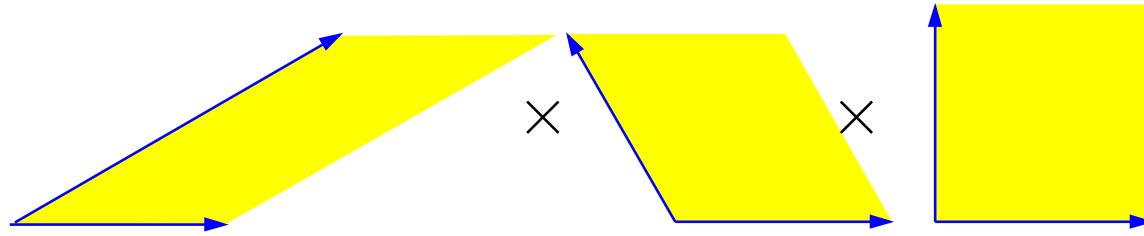
Such 6d models can be embedded in 10d string theory orbifolds. Models with consistent electroweak symmetry breakdown have been constructed.

(Förste, HPN, Wingerter, 2006)

# Pati-Salam breakdown

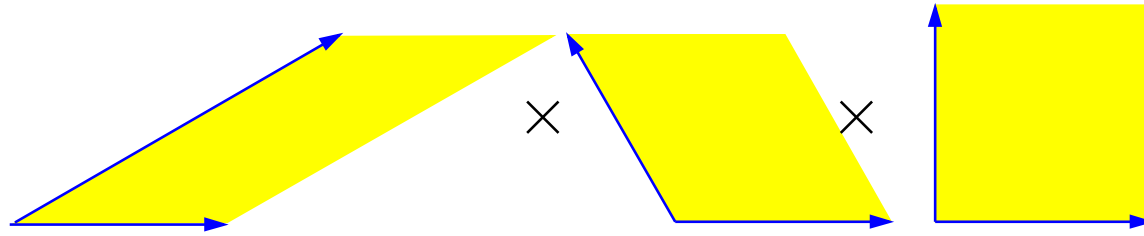


# A “fertile patch”: $Z_6$ II orbifold



(Kobayashi, Raby, Zhang, 2004; Buchmüller, Hamaguchi, Lebedev, Ratz, 2004)

# A “fertile patch”: $Z_6$ II orbifold



(Kobayashi, Raby, Zhang, 2004; Buchmüller, Hamaguchi, Lebedev, Ratz, 2004)

- provides **fixed points and fixed tori**
- allows for 61 different shifts out of which 2 lead to  $SO(10)$  gauge group
- allows for **localized 16-plets** for 2 families
- $SO(10)$  broken via Wilson lines
- **nontrivial hidden sector gauge group**

# Selection Strategy

criterion	$V^{\text{SO}(10),1}$	$V^{\text{SO}(10),2}$
models with 2 Wilson lines	22,000	7,800
SM gauge group $\subset \text{SO}(10)$	3563	1163
3 net (3, 2)	1170	492
non-anomalous $U(1)_Y \subset \text{SU}(5)$	528	234
3 generations + vector-like	128	90

(Lebedev, HPN, Raby, Ramos-Sanchez, Ratz, Vaudrevange, Wingerter, 2006A)

# Decoupling of exotics

requires extensive technical work:

- analysis of Yukawa couplings  $S^n E \bar{E}$
- vevs of  $S$  break additional  $U(1)$  symmetries
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## Requirement of D-flatness

- vevs of  $S$  should not break supersymmetry
- anomalous  $U(1)$  and Fayet-Iliopoulos terms
- checking D-flatness with method of gauge invariant monomials

# MSSM candidates

criterion	$V^{\text{SO}(10),1}$	$V^{\text{SO}(10),2}$
SM gauge group $\subset \text{SO}(10)$	3563	1163
3 net $(\mathbf{3}, \mathbf{2})$	1170	492
non-anomalous $U(1)_Y \subset \text{SU}(5)$	528	234
3 generations + vector-like	128	90
exotics decouple	106	85
D-flat solutions	105	85

(Lebedev, HPN, Raby, Ramos-Sanchez, Ratz, Vaudrevange, Wingerter, 2007)

# The road to the MSSM

The benchmark scenario leads to

- 200 models with the **exact spectrum of the MSSM** (absence of chiral exotics)

- **local grand unification** (by construction)

- gauge- and (partial) Yukawa unification

(Raby, Wingerter, 2007)

- examples of **neutrino see-saw mechanism**

(Buchmüller, Hamguchi, Lebedev, Ramos-Sanchez, Ratz, 2007)

- models with **R-parity** + solution to the  **$\mu$ -problem**

(Lebedev, HPN, Raby, Ramos-Sanchez, Ratz, Vaudrevange, Wingerter, 2007)

- hidden sector gaugino condensation

# A Benchmark Model

At the orbifold point the gauge group is

$$SU(3) \times SU(2) \times U(1)^9 \times SU(4) \times SU(2)$$

- one  $U(1)$  is anomalous
- there are singlets and vectorlike exotics
- decoupling of exotics and breakdown of gauge group has been verified
- remaining gauge group

$$SU(3) \times SU(2) \times U(1)_Y \times SU(4)_{\text{hidden}}$$

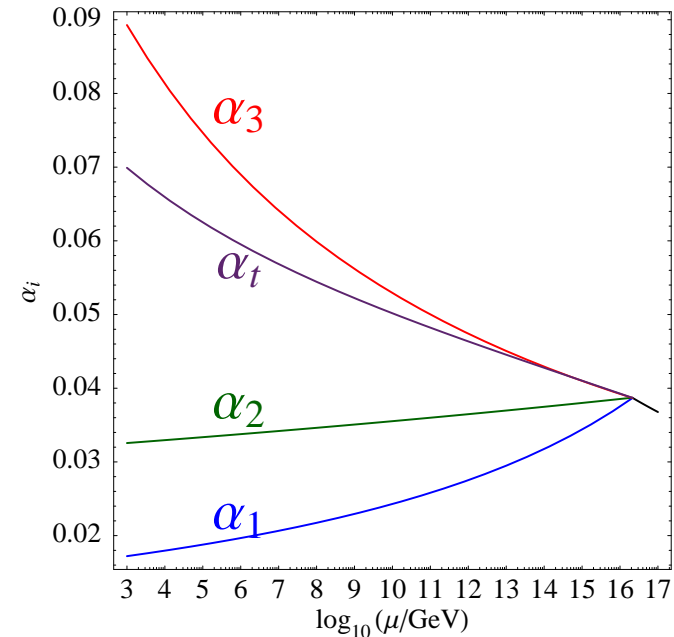
- for discussion of neutrinos and R-parity we keep also the  $U(1)_{B-L}$  charges

# Spectrum

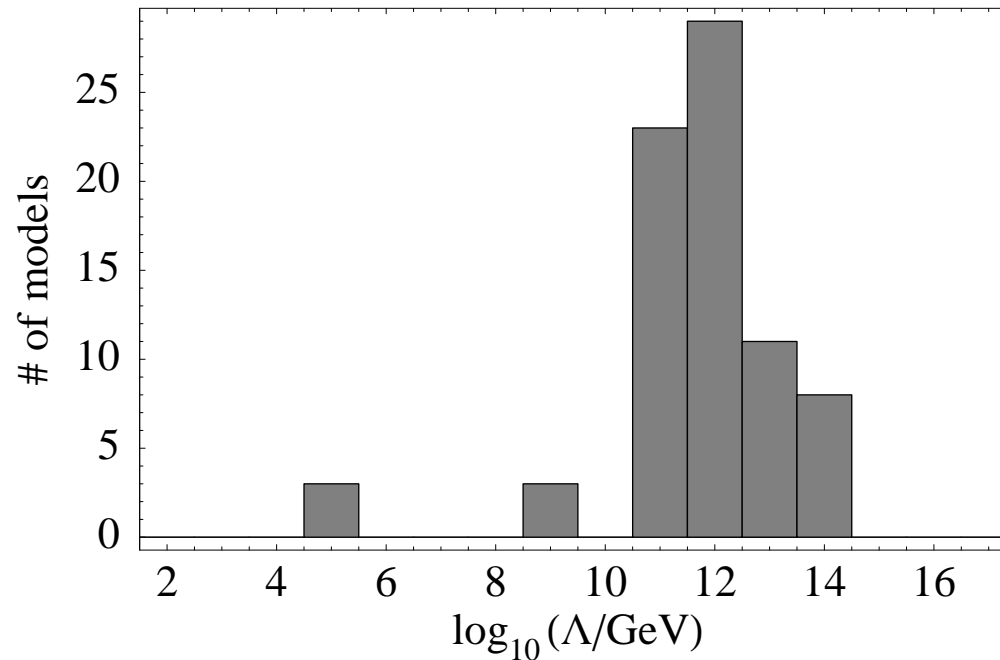
#	irrep	label	#	irrep	label
3	$(\mathbf{3}, \mathbf{2}; \mathbf{1}, \mathbf{1})_{(1/6, 1/3)}$	$q_i$	3	$(\bar{\mathbf{3}}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(-2/3, -1/3)}$	$\bar{u}_i$
3	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(1, 1)}$	$\bar{e}_i$	8	$(\mathbf{1}, \mathbf{2}; \mathbf{1}, \mathbf{1})_{(0, *)}$	$m_i$
3 + 1	$(\bar{\mathbf{3}}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(1/3, -1/3)}$	$\bar{d}_i$	1	$(\mathbf{3}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(-1/3, 1/3)}$	$d_i$
3 + 1	$(\mathbf{1}, \mathbf{2}; \mathbf{1}, \mathbf{1})_{(-1/2, -1)}$	$l_i$	1	$(\mathbf{1}, \mathbf{2}; \mathbf{1}, \mathbf{1})_{(1/2, 1)}$	$\bar{l}_i$
1	$(\mathbf{1}, \mathbf{2}; \mathbf{1}, \mathbf{1})_{(-1/2, 0)}$	$h_d$	1	$(\mathbf{1}, \mathbf{2}; \mathbf{1}, \mathbf{1})_{(1/2, 0)}$	$h_u$
6	$(\bar{\mathbf{3}}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(1/3, 2/3)}$	$\bar{\delta}_i$	6	$(\mathbf{3}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(-1/3, -2/3)}$	$\delta_i$
14	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(1/2, *)}$	$s_i^+$	14	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(-1/2, *)}$	$s_i^-$
16	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(0, 1)}$	$\bar{n}_i$	13	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(0, -1)}$	$n_i$
5	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{2})_{(0, 1)}$	$\bar{\eta}_i$	5	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{2})_{(0, -1)}$	$\eta_i$
10	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{2})_{(0, 0)}$	$h_i$	2	$(\mathbf{1}, \mathbf{2}; \mathbf{1}, \mathbf{2})_{(0, 0)}$	$y_i$
6	$(\mathbf{1}, \mathbf{1}; \mathbf{4}, \mathbf{1})_{(0, *)}$	$f_i$	6	$(\mathbf{1}, \mathbf{1}; \bar{\mathbf{4}}, \mathbf{1})_{(0, *)}$	$\bar{f}_i$
2	$(\mathbf{1}, \mathbf{1}; \mathbf{4}, \mathbf{1})_{(-1/2, -1)}$	$f_i^-$	2	$(\mathbf{1}, \mathbf{1}; \bar{\mathbf{4}}, \mathbf{1})_{(1/2, 1)}$	$\bar{f}_i^+$
4	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(0, \pm 2)}$	$\chi_i$	32	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(0, 0)}$	$s_i^0$
2	$(\bar{\mathbf{3}}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(-1/6, 2/3)}$	$\bar{v}_i$	2	$(\mathbf{3}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(1/6, -2/3)}$	$v_i$

# Unification

- Higgs doublets are in untwisted (U3) sector
- trilinear coupling to the top-quark allowed
- threshold corrections (“on third torus”) allow unification at correct scale around  $10^{16}$  GeV



# Hidden Sector Susy Breakdown



Gravitino mass  $m_{3/2} = \Lambda^3 / M_{\text{Planck}}^2$  is in the **TeV range**  
for the hidden sector gauge group  $SU(4)$

(Lebedev, HPN, Raby, Ramos-Sanchez, Ratz, Vaudrevange, Wingerter, 2006)

# See-saw neutrino masses

The see-saw mechanism requires

- right handed neutrinos ( $Y = 0$  and  $B - L = \pm 1$ ),
- heavy Majorana neutrino masses  $M_{\text{Majorana}}$ ,
- Dirac neutrino masses  $M_{\text{Dirac}}$ .

The benchmark model has 49 right handed neutrinos:

- the left handed neutrino mass is  $m_\nu \sim M_{\text{Dirac}}^2 / M_{\text{eff}}$
- with  $M_{\text{eff}} < M_{\text{Majorana}}$  and depends on the number of right handed neutrinos.

(Buchmüller, Hamguchi, Lebedev, Ramos-Sanchez, Ratz, 2007;  
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# R-parity

- R-parity allows the **distinction** between Higgs bosons and sleptons
- $SO(10)$  **contains R-parity** as a discrete subgroup of  $U(1)_{B-L}$ .
- in conventional “**field theory GUTs**” one needs large representations to break  $U(1)_{B-L}$  (>126 dimensional)
- in **heterotic string** models one has more candidates for R-parity (and generalizations thereof)
- one just needs **singlets with an even  $B - L$  charge** that **break  $U(1)_{B-L}$  down to R-parity**

(Lebedev, HPN, Raby, Ramos-Sanchez, Ratz, Vaudrevange, Wingerter, 2007)

# Discrete Symmetries

There are numerous discrete symmetries

- from geometry
- and from stringy selection rules,
- both of abelian and nonabelian nature.

(Kobayashi, HPN, Plöger, Raby, Ratz, 2006)

Possible applications:

- (nonabelian) family symmetries
- Yukawa textures
- approximate global  $U(1)$  for a QCD axion

(Choi, Kim, Kim, 2006; Choi, HPN, Ramos-Sanchez, Vaudrevange, 2008)

# The $\mu$ problem

In general we have to worry about

- doublet-triplet splitting
- mass term for additional doublets
- the appearance of “naturally” light doublets

In the benchmark model we have

- only 2 doublets
- which are neutral under all selection rules
- if  $M(s_i)$  allowed in superpotential
- then  $M(s_i)H_uH_d$  is allowed as well

# The $\mu$ problem II

We have verified that (up to order 6 in the singlets)

- $F_i = 0$  implies automatically
- $M(s_i) = 0$  for all allowed terms  $M(s_i)$  in the superpotential  $W$

Therefore

- $W = 0$  in the supersymmetric (Minkowski) vacuum
- as well as  $\mu = \partial^2 W / \partial H_u \partial H_d = 0$ , while all the vectorlike exotics decouple
- with broken supersymmetry  $\mu \sim m_{3/2} \sim \langle W \rangle$

This solves the  $\mu$ -problem

(Casas, Munoz, 1993)

# Comparison to Type II braneworld

- strategy based on geometrical intuition is successful
- properties of models can trace back the geometry of extra dimensions
- heterotic versus Type II braneworld
  - bulk gauge group
  - complete chiral multiplets
  - chiral exotics
  - R-parity (B-L and seesaw mechanism)
- localization of fields at various “corners” of Calabi-Yau manifold
- remnants of Grand Unification indicate that we live in a special place of the compactified extra dimensions!

# Conclusion

String theory provides us with **new ideas for particle physics** model building, leading to concepts such as

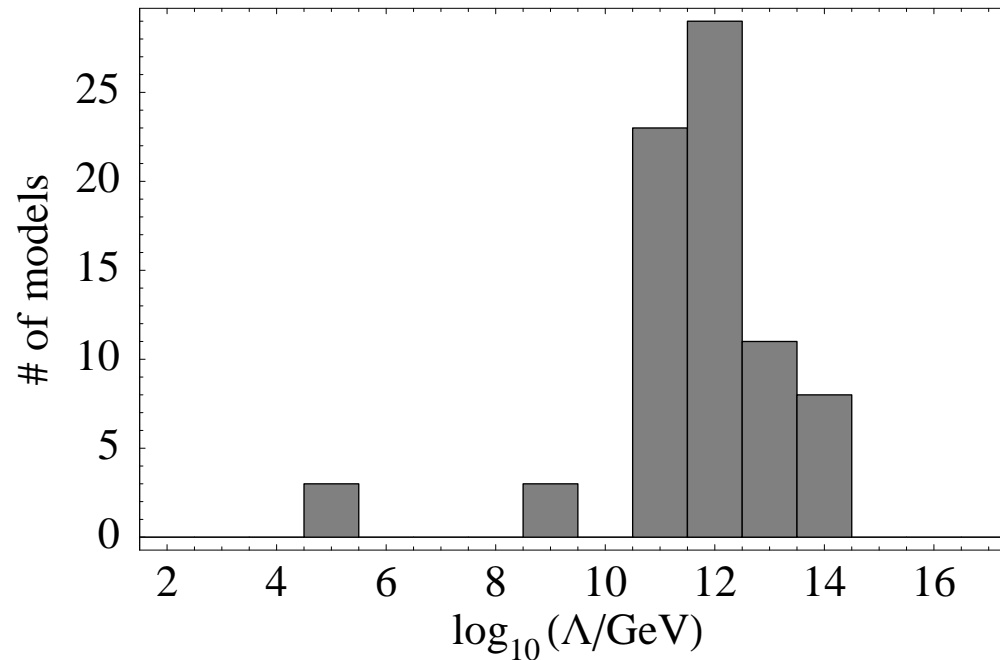
- Local Grand Unification
- realistic MSSM candidates

**Geography of extra dimensions** plays a crucial role:

- localization of fields on branes,
- sequestered sectors and **mirage mediation**

LHC might help us to verify some of these ideas!

# Gaugino Condensation



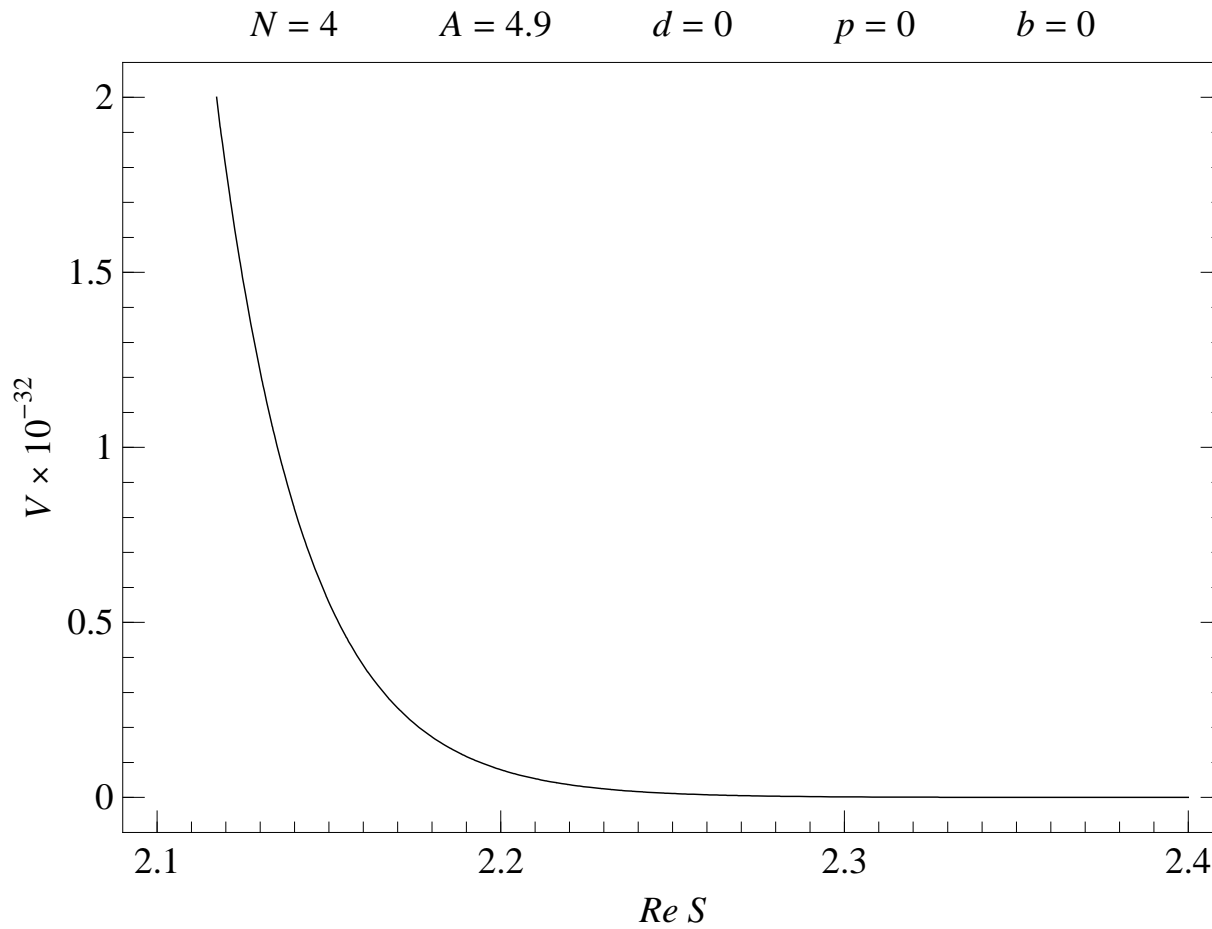
Gravitino mass  $m_{3/2} = \Lambda^3 / M_{\text{Planck}}^2$  and  $\Lambda \sim \exp(-S)$

**We need to fix the dilaton!**

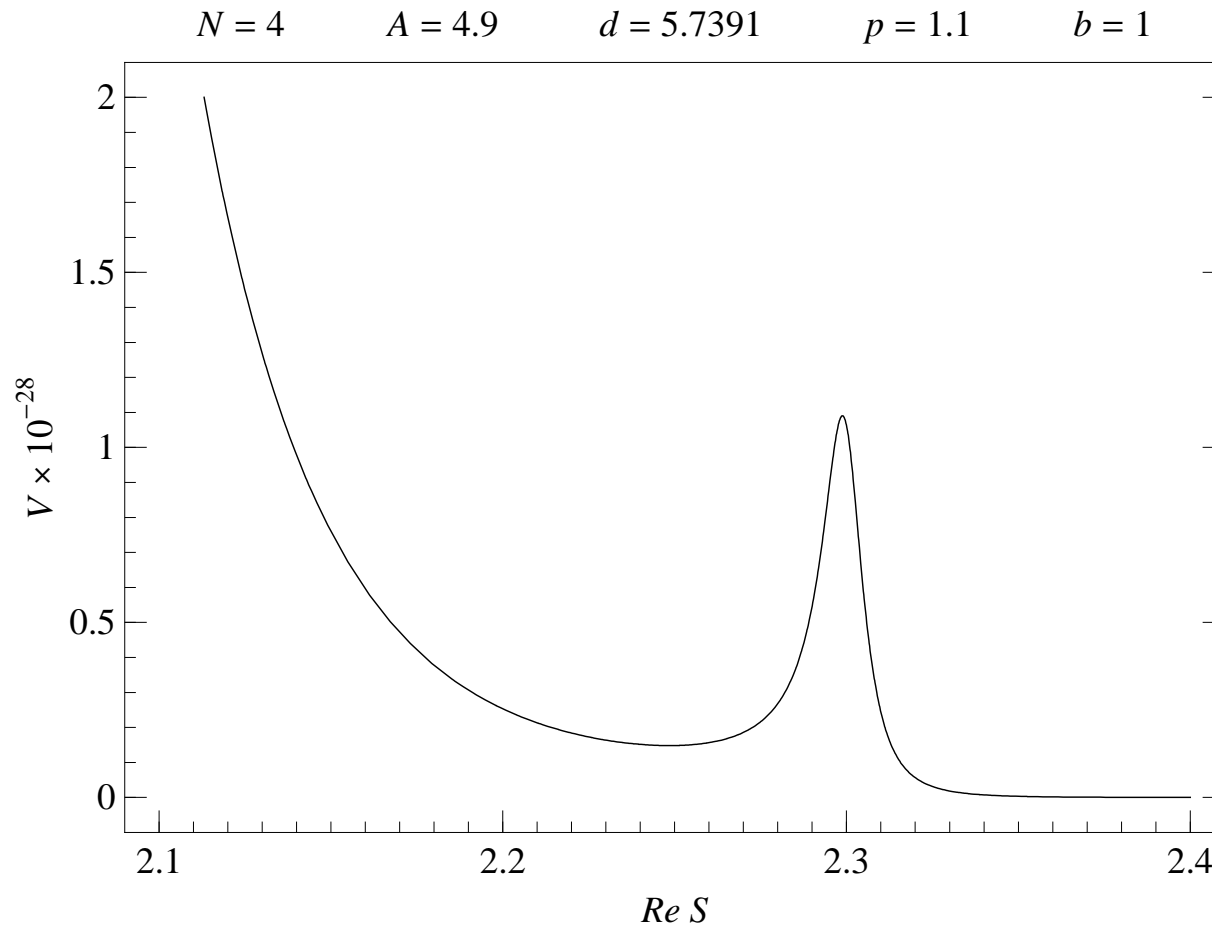
(Lebedev, HPN, Raby, Ramos-Sanchez, Ratz, Vaudrevange, Wingerter, 2006)



# Run-away potential



# Corrections to Kähler potential



(Casas, 1996; Barreiro, de Carlos, Copeland, 1998)

# Dilaton Domination?

This is known as the dilaton domination scenario,

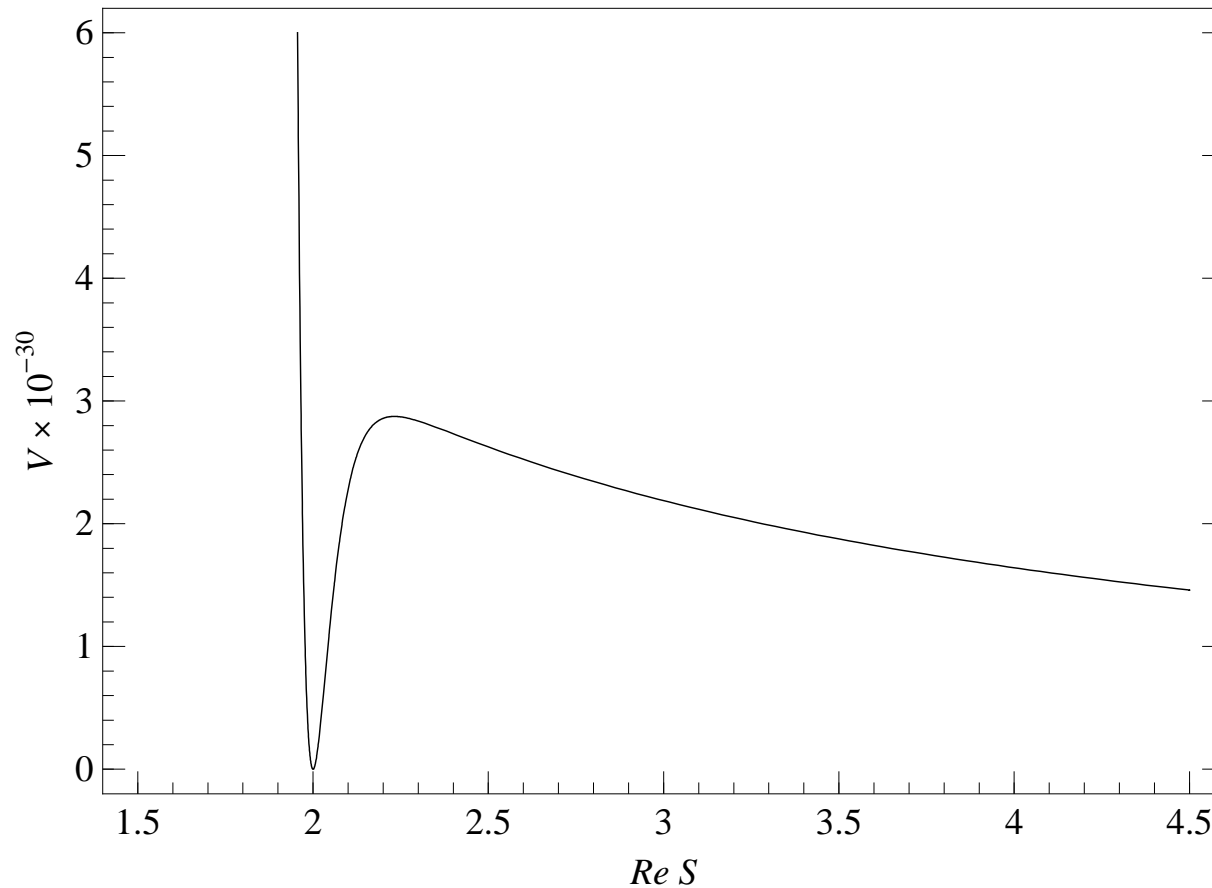
- but there are problems to remove the vacuum energy.

One needs a “downlifting” mechanism:

- the analogue to the F-term “uplifting” in the type IIB case  
(Gomez-Reino, Scrucca, 2006; Lebedev, HPN, Ratz, 2006)
- “downlifting” mechanism fixes  $S$  as well (no need for nonperturbative corrections to the Kähler potential)  
(Löwen, HPN, 2008)
- mirage mediation for gaugino masses

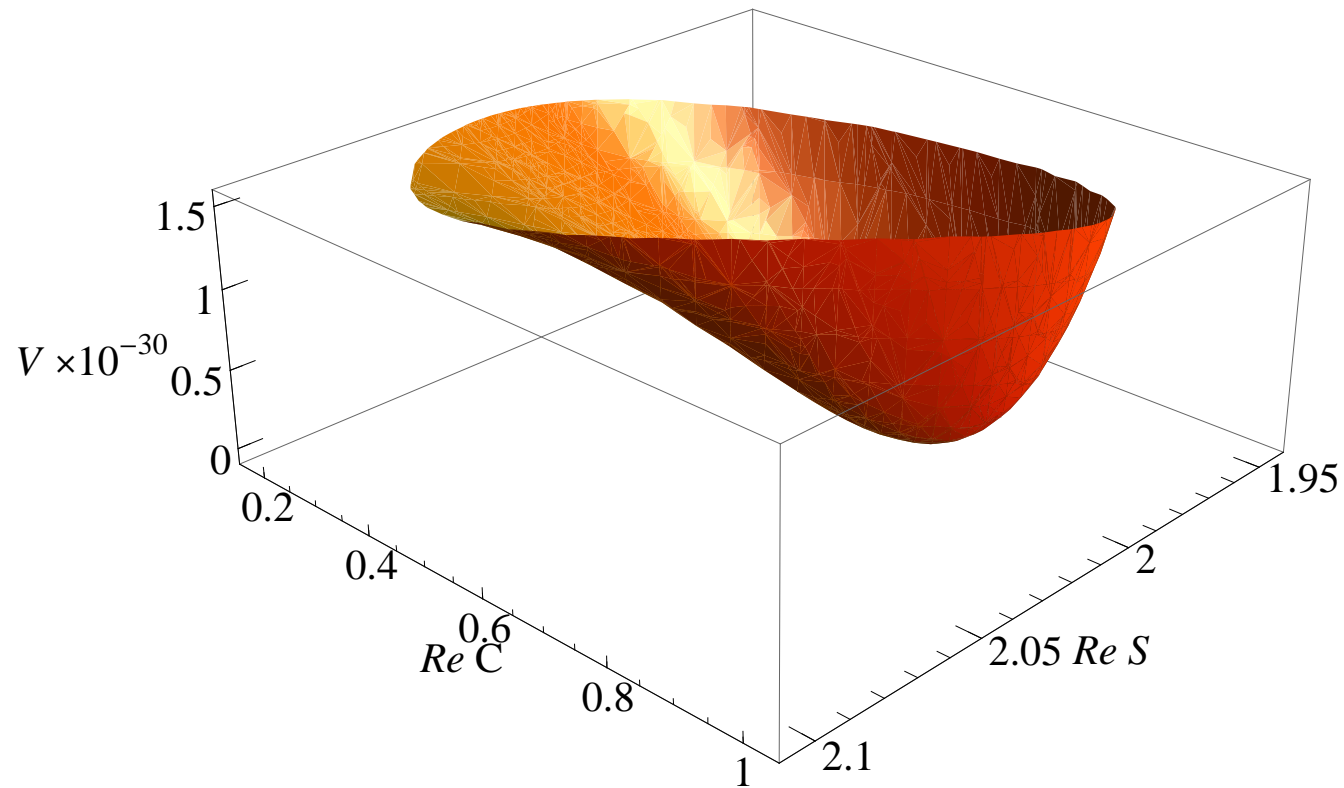
# Sequestered sector “uplifting”

$N = 4$      $A = 4.9$      $C_0 = 0.73$



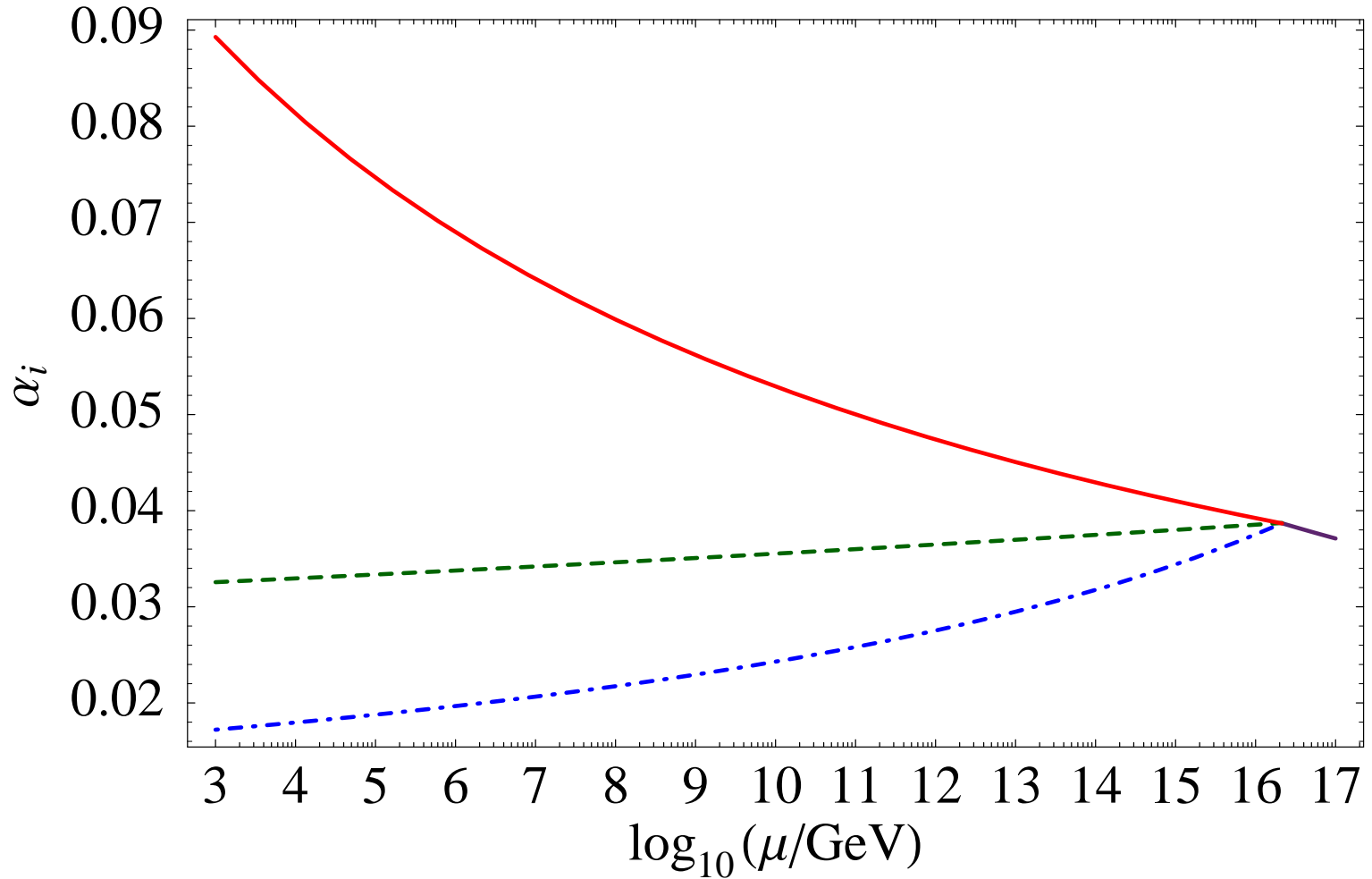
(Lebedev, HPN, Ratz, 2006; Löwen, HPN, 2008)

# Metastable “Minkowski” vacuum

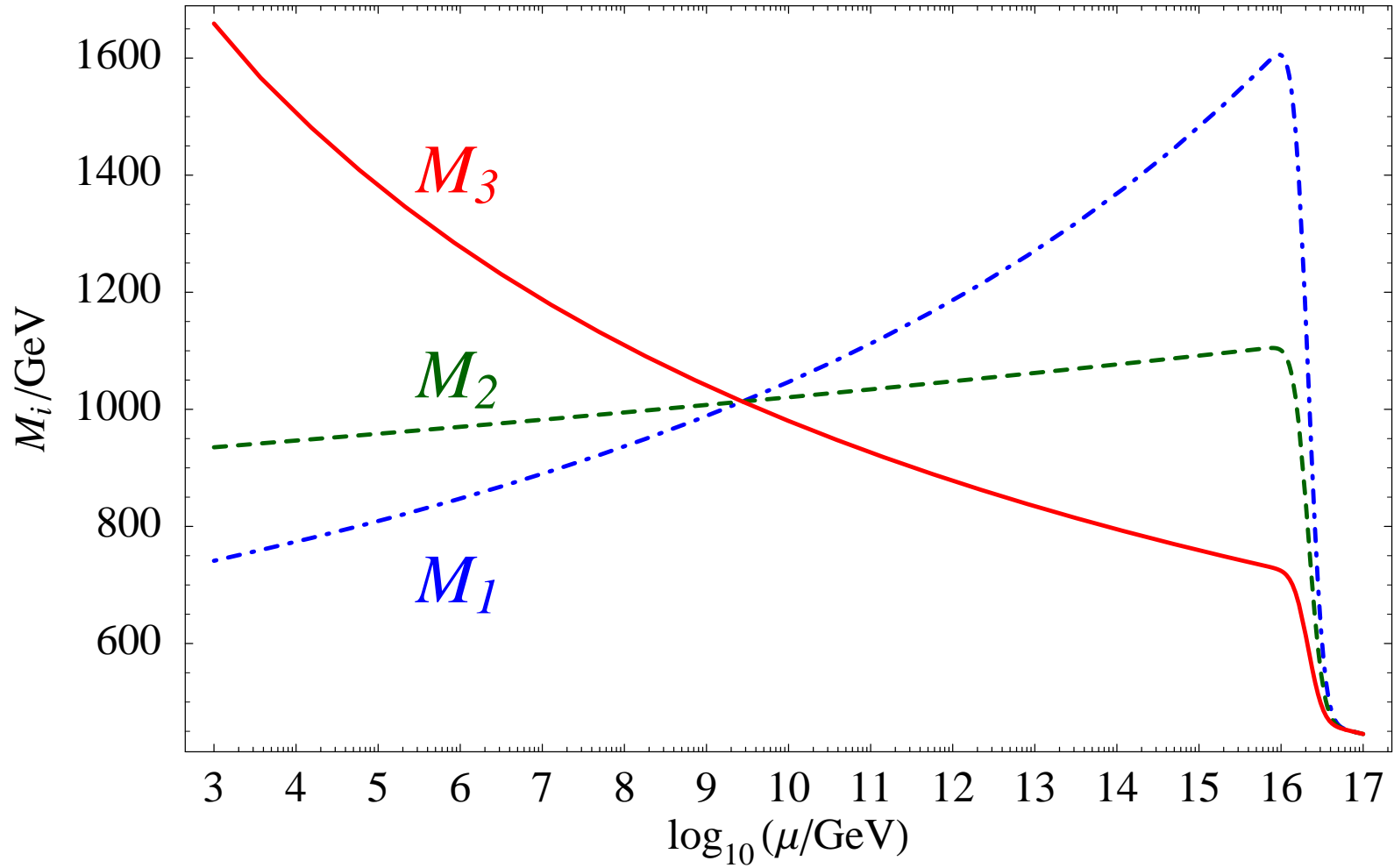


(Löwen, HPN, 2008)

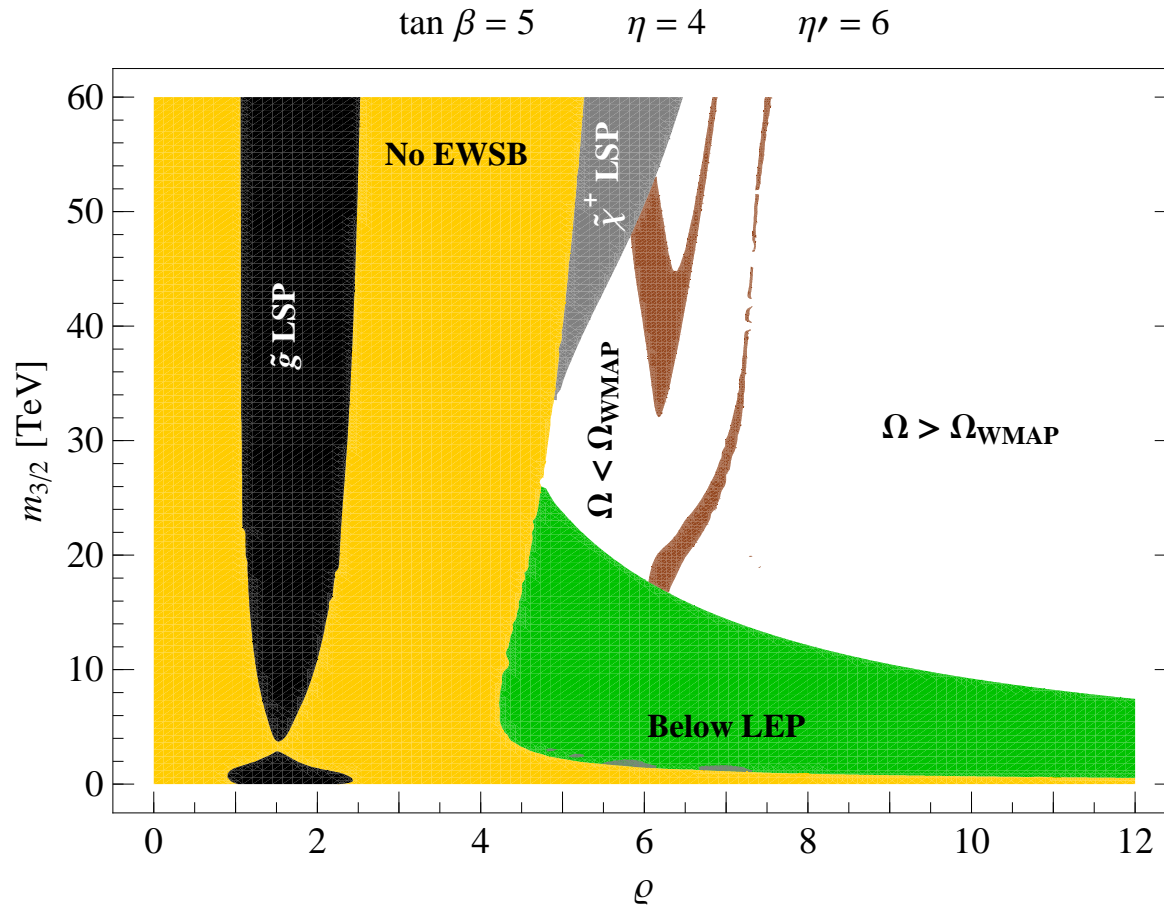
# Evolution of couplings



# The Mirage Scale



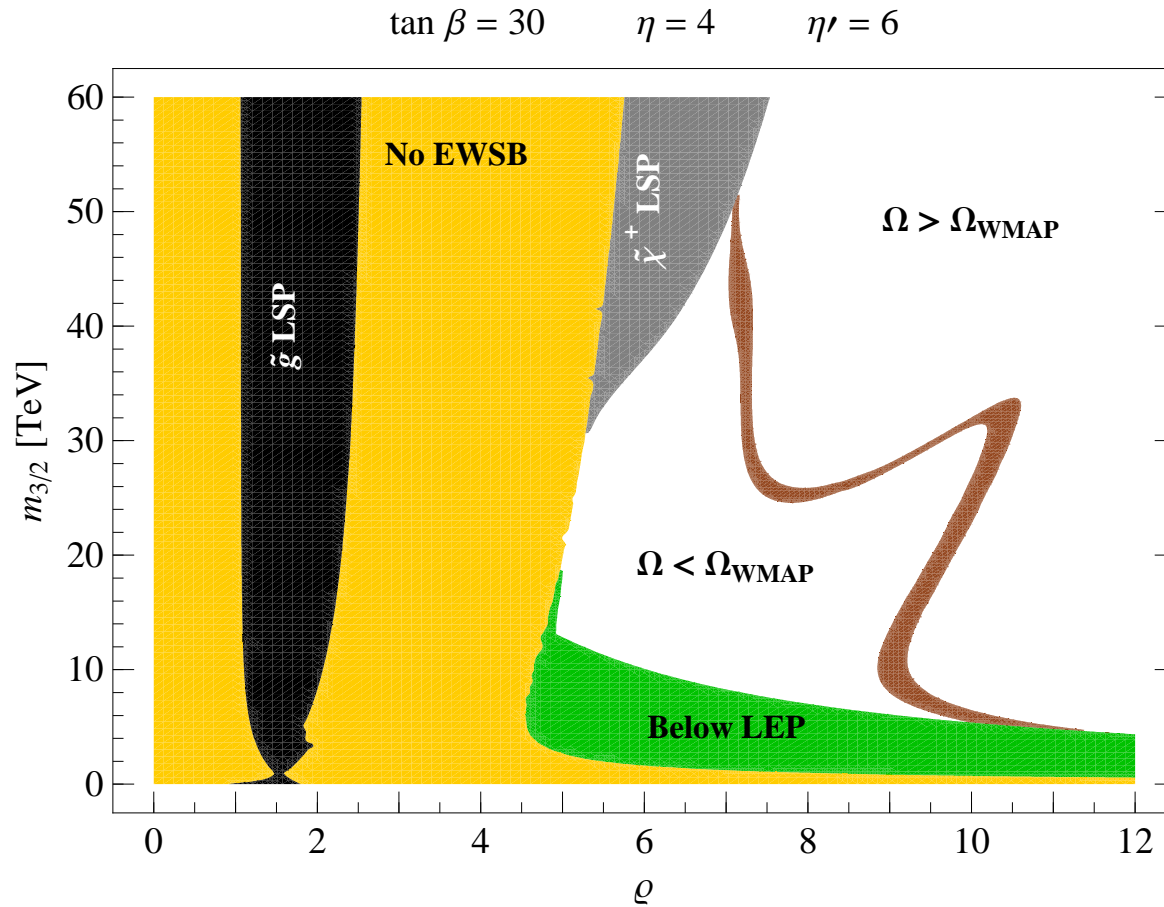
# Constraints on the mixing parameter



(Löwen, HPN, 2008)



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